

# Higher finiteness properties of $S$ -arithmetic groups in the function field case I

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It is well known that  $S$ -arithmetic subgroups of reductive algebraic groups over number fields have “all” finiteness properties (see [BS 2]). On the contrary there exist many counterexamples in the function field case.

Let  $F$  be a finite extension of  $\mathbb{F}_q(t)$ ,  $G$  an almost simple algebraic group of  $F$ -rank  $r$ ,  $O_S$  an  $S$ -arithmetic subring of  $F$  with  $\#S = s$ ,  $r_v$  the  $F_v$ -rank of  $G$  over the completion  $F_v$  of  $F$  for  $v \in S$ , and finally  $\Gamma$  a  $S$ -arithmetic subgroup of  $G(F)$ .

We are interested in the following question: Is it true that

$$\Gamma \text{ is of type } F_{n-1} \text{ but not } F_n \text{ iff } r > 0 \text{ and } \sum_{v \in S} r_v = n ?$$

(For the definition of finiteness properties, cf the introduction of [Ab].)

The answer is yes in the following cases:

- (a)  $G = SL_2$ : see [St 2].
- (b)  $n = 1$  or  $2$  (finite generation or finite presentability): see [B 2].
- (c)  $G$  classical,  $O_S = \mathbb{F}_q[t]$  under the assumption that  $q$  is big enough compared with  $r$ : see [Ab] and [A] for  $SL_n$ .

In particular it is not known if the assumption in (c) is necessary. For  $r = 0$ , in the so-called cocompact case,  $\Gamma$  is of type  $F_\infty$  (cf. [BS 2]).

This paper is an attempt to attack this question with some new methods — old in other contexts. First of all, inspired by the work of Serre, Quillen, Stuhler and Grayson (cf. [G1,2]), we use *semi-stability for reduction theory*, and the idea to determine the homotopy type of the boundary of the unstable region by *retraction*.

In this part we only deal with Chevalley groups  $G$  and arithmetic rings  $O_S$  for  $\#S = 1$ . The groups  $G(F)$  and  $\Gamma$  act on the Bruhat–Tits building  $X = X_v$ , corresponding to  $G$  and  $F_v$ ;  $\Gamma$  leaves the unstable region  $X'$  invariant.  $X'$  has

a cover whose nerve is given by the spherical Tits building  $X_0$ , so it is  $(r - 1)$ -spherical. The retraction to its boundary is not possible as in the number field case, since the geodesic lines are branching (discretely).

Therefore we have to “split up”  $X'$  into apartments, thereby constructing a bigger complex  $\tilde{X}'$ , which has a cover with nerve  $\text{Opp}X_0$ , defined by an opposition relation in  $X_0$ . This complex was first considered by Charney for  $G = GL_n$ , by Lehrer and Rylands for classical groups who called it “split building”, finally v. Heydebreck showed in the general case that this complex is also  $(r - 1)$ -spherical — so is  $\tilde{X}'$ .

$\tilde{X}'$  can be retracted to its boundary  $\tilde{Y}$ , but  $\tilde{Y}$  is not finite mod  $\Gamma$ . Thus we have to consider a subcomplex  $\tilde{X}'_\Gamma$ , where opposition is defined only with respect to  $\Gamma$  and to show that  $\tilde{X}'_\Gamma$  is a deformation retract of  $\tilde{X}'$ . Now we obtain that  $\tilde{Y}_\Gamma$  is finite modulo  $\Gamma$  and can deduce the  $F_{n-1}$ -property of  $\Gamma$ . For the negative part, i.e.  $\Gamma$  is not of type  $F_n$ , one should come back to filtrations, the method used for the proofs of (a), (b) and (c) above, but for the moment I have no detailed argument. Thus we sketch the proof of the following

**Theorem.** *The  $S$ -arithmetic subgroup  $\Gamma = G(O_S)$  of a simply connected almost simple Chevalley group  $G$  of rank  $r$  is for  $s = 1$  of type  $F_{r-1}$ .*

*Conjecture:*  $\Gamma$  is not of type  $F_r$ .

I hope that this program will turn out to be useful even in more general situations: For coefficient rings which are defined by more than one prime or, on the other side, for non-split groups.

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## 1. Notations

Let us denote by

$F$	a finite extension of the field of rational functions $\mathbb{F}_q(t)$ in $t$ with coefficients in the finite field $\mathbb{F}_q$ , $q = p^m$ ;
$\widehat{F} = F_v$	the completion of $F$ with respect to the valuation $v$ of $F$ ;
$O$ and $\widehat{O}$	the valuation rings with respect to $v$ in $F$ or $\widehat{F}$ ;
$G$	a simply connected almost simple Chevalley group, defined over $F$ ;
$r$	the $F$ -rank of $G$ , $I = \{1, \dots, r\}$ ;
$T$	a maximal (split) $F$ -torus of $G$ ;
$\Delta = \{\alpha_i\}_{i \in I}$	a set of simple roots of $G$ with respect to $T$ ;
$P_{\Delta_0}$	a parabolic subgroup of $G$ of cotype $\Delta_0$ , $\Delta_0 \subseteq \Delta$ , which means that $\Delta - \Delta_0$ is a set of simple roots for the semi-simple part of $P_{\Delta_0}$ , especially
$B = P_{\Delta}$	the Borel subgroup, defined by $\Delta$ , and
$P_{\alpha}$	the maximal parabolic subgroup for $\Delta_0 = \{\alpha\}$ .
$X$	the Bruhat–Tits–building, corresponding to $G$ and $v$ with its simplicial structure and its metric topology;
$A = X_T$	the apartment of $X$ corresponding to $T$ , thus $A \sim \mathbb{R}^r$ ;
$\{\alpha_i(x)\}_{i \in I}$	the coordinates of $x \in A$ which means by abuse of notation the following: If $x = t \cdot x_0$ , $x_0$ the “origin” of $A$ , $t \in T(\widehat{F})$ , then $\alpha_i(x) := -v(\alpha_i(t))$ ;
$X_0$	the spherical Tits building of $G(F)$ ;
$\Gamma$	the $S$ -arithmetic subgroup of $G(F)$ for $S = \{v\}$ .

## 2. Reduction Theory and the Unstable Region

We shall use reduction theory for arithmetic groups over function fields in the version described by Harder in [H2], 1.4. He defines

$$\pi(x, P) := \text{vol} (K_x \cap U(\widehat{F}))$$

for a special point  $x \in X$ , corresponding to a maximal compact subgroup  $K_x$  of  $G(\widehat{F})$  and a  $F$ -parabolic group  $P$  and its unipotent radical  $U$ ; the volume  $\text{vol}$

comes from the adelic Tamagawa measure. The function

$$d_P(x) := \log_q \pi(x, P)$$

can be extended by linear interpolation to all points  $x$  in an apartment  $A = X_T$ , defined by a maximal split  $\widehat{F}$ -torus  $T$ , contained in  $P$  and thereby uniquely for all  $x \in X$ . We may consider  $d_P$  as a *co-distance* with respect to the simplex  $\sigma_P$ , given by  $P$  in the spherical building  $X_\infty$  at infinity (cf. [Br2], VI.9).

For the action of  $T(\widehat{F})$  on  $X_T$  via  $\text{ad } T$  we have the formula

$$d_P(t \cdot x) = d_P(x) + \log_q |\delta_P(t)|$$

where  $\delta_P$  is the character “sum of roots in  $U$ ”, which is a multiple of the dominant weight  $\omega_P$  and the  $q$ -logarithm is the negative additive valuation  $-v(\delta_P(t))$ .

For each Borel group  $B$  over  $F$  and its set  $\Delta = \{\alpha_1, \dots, \alpha_r\}$  of simple roots (with respect to a  $F$ -torus  $T$ ), the maximal parabolic groups  $P_\alpha$  ( $\alpha \in \Delta$ ) containing  $B$  and their fundamental weights  $\omega_{P_\alpha}$ , one has

$$\alpha = \sum_{\beta \in \Delta} c_{\alpha, \beta} \omega_{P_\beta} = \sum_{\beta \in \Delta} c'_{\alpha, \beta} \delta_{P_\beta}$$

where  $c_{\alpha, \beta}$  are the integral coefficients of the Cartan-matrix, such that  $c'_{\alpha, \beta} \in \mathbb{Q}$ ; in particular,  $c'_{\alpha, \alpha}$  is positive and  $c'_{\alpha, \beta}$  for  $\beta \neq \alpha$  is zero or negative (for at most 3  $\beta$ 's). Using these coefficients, Harder defines *numerical invariants*

$$n_\alpha(x, B) := \prod_{\beta \in \Delta} \pi(x, P_\beta).$$

Again we pass to the additive version, setting

$$c_{B, \alpha}(x) := \log_q [n_\alpha(x, B)]$$

and obtain for each  $b \in B(F)$  the relation

$$\begin{aligned} c_{B, \alpha}(b \cdot x) &= c_{B, \alpha}(x) + \log_q |\alpha(b)| \\ &= c_{B, \alpha}(x) - v(\alpha(b)) \end{aligned}$$

$c_{B, \alpha}$  is an affine linear function on the apartment  $X_T$ ; we define the origin  $O_B$  by  $c_{B, \alpha}(O_B) = 0$  for all  $\alpha \in \Delta$  and by abuse of notation  $\alpha(t \cdot O_B) := -v(\alpha(t))$  for  $t \in T(F)$ , thus we get by linear interpolation a set of affine coordinates  $\{\alpha_1(x), \dots, \alpha_r(x)\}$  for each point  $x \in X_T$ .

Now we are able to state the **main theorems of reduction theory** (for Chevalley groups):

- (A) There exists a constant  $C_1$  such that for all  $x \in X$  there is a  $F$ -Borel group  $B$  with  $c_{B,\alpha}(x) \geq C_1$  for all  $\alpha \in \Delta$ ; then  $x$  is called “*reduced with respect to  $B$* ”.
- (B) There exists a constant  $C_2 \geq C_1$ , such that for  $x \in X$  reduced with respect to  $B$  and  $B'$ , and  $c_{B,\alpha}(x) \geq C_2$  for all  $\alpha \in \Delta_0 \subseteq \Delta$ ,  $P = P_{\Delta_0} \supseteq B$ , it follows  $P \supseteq B'$ ; then  $x$  is called “*close to  $P$* ”,  $P$  is uniquely determined.
- (C) There exists a constant  $C_3 \geq C_2$ , depending on the arithmetic group  $\Gamma$ , such that for  $x \in X$ , reduced with respect to  $B$  and with  $c_{B,\alpha}(x) \geq C_3$  for all  $\alpha \in \Delta_0 \subseteq \Delta$ , we have for the unipotent radical  $U$  of the parabolic group  $P = P_{\Delta_0} \supseteq B$

$$U(\widehat{F}) = (U(\widehat{F}) \cap K_x)(U(F) \cap \Gamma);$$

$x$  is then called “*very close to  $P$* ”.

- (D) For each constant  $C \geq C_1$  the set

$$X_C := \left\{ x \in X \mid \begin{array}{l} c_{B,\alpha}(x) \leq C \text{ for all } \alpha \in \Delta \text{ and all } B \\ \text{for which } x \text{ is reduced with respect to } B \end{array} \right\}$$

is  $\Gamma$ -invariant and  $X_C/\Gamma$  is compact.

- (E) The number of Borel subgroups over  $F$  of  $G$  belongs to finitely many classes under  $\Gamma$ -conjugation (see [B1], 8).

**Remark.** The constant  $C_1$  can be chosen as  $C_1 \leq -2g - 2(h - 1)$  where  $g$  denotes the genus of  $F$  and  $h$  is a “*class-number*” (for the precise definition see [H1], 2.2.6). For example, if  $\Gamma = SL_n(\mathbb{F}_q[t])$  we may use  $C_1 = 0$ , but in general  $C_1$  is negative.

We define the cone or sector of points in  $X_T$ , reduced with respect to  $B \supset T$  by

$$D_{B,T} := \{x \in X_T \mid \alpha_i(x) \geq C_1 \text{ for } i = 1, \dots, r\}$$

Warning: For different Borel groups  $B$  and  $B'$ , containing the same torus  $T$ , the origins  $O_B$  and  $O_{B'}$  must not coincide and therefore the sectors  $D_{B,T}$ ,  $B \supset T$  do not cover in general the apartment  $X_T$ : see example below.

For a  $F$  parabolic group  $P$  of cotype  $\Delta_0 \neq \emptyset$ , we denote by  $X'_P$  the set of all points  $x \in X$  which are close to  $P$ :

$$X'_P := \left\{ x \in X \mid \begin{array}{l} c_{B,\alpha}(x) \geq C_1 \text{ for all } \alpha \in \Delta \setminus \Delta_0 \\ c_{B,\alpha}(x) \geq C_2 \text{ for all } \alpha \in \Delta_0 \end{array} \text{ for all } B \subseteq P \right\}$$

$$\text{or } X'_P := \bigcup_{B \subseteq P} D_B := \bigcup_{B \subseteq P} \left( \bigcup_{T \subseteq B} D_{B,T} \cap X'_P \right)$$

and call

$$X' := \bigcup_P X'_P = \bigcup_{P \text{ max}} X'_P$$

the **unstable region** of  $X$ ; the name is given in analogy to the description with vector bundles for the group  $G = SL_n$  (cf. [G1], 4).

For a  $F$ -parabolic group  $Q$  let  $P$  run over all maximal  $F$ -parabolic groups which contain  $Q$ ; then we have

$$X'_Q = \bigcap_{P \supseteq Q} X'_P .$$

We obtain a *polyhedral decomposition* of  $X'$ , defining

$$X''_Q := \overline{X'_Q \setminus \bigcup_{Q_1 \subsetneq Q} (X'_Q \cap X'_{Q_1})} .$$

In the special case, where  $C_1 = 0$ , we have in a fixed sector  $D_{B,T}$  the following descriptions:

$$\begin{aligned} X'_Q \cap D_{B,T} &= \{x \in D_{B,T} \mid \alpha(x) \geq 0 \text{ for all } \alpha \in \Delta, \alpha(x) \geq C_2 \text{ for all } \alpha \in \Delta_0\} \\ X''_Q \cap D_{B,T} &= \{x \in D_{B,T} \mid 0 \leq \alpha(x) \leq C_2 \text{ for all } \alpha \in \Delta \setminus \Delta_0, \\ &\quad \alpha(x) \geq C_2 \text{ for all } \alpha \in \Delta_0\} \end{aligned}$$

where  $Q = P_{\Delta_0}$ .

In particular for  $Q = B$ , which means  $\Delta_0 = \Delta$ ,  $X''_B \cap D_{B,T}$  is a cone inside  $D_{B,T}$ , for  $Q = P$  maximal, i.e.  $\Delta_0 = \{\alpha\}$ , we get for  $X''_P \cap D_{B,T}$  a cylindric convex set, furthermore infinite prisms etc.

Finally we have  $X'_P = \bigcup_{Q \subseteq P} X''_Q$ .

**Remark.** Assume we have an enumeration of the set of simple roots, given by a type function on the vertices of the spherical building  $X_0$ , then for  $x \in X''_Q$  the set of maximal parabolic subgroups  $P$  containing  $Q$  defines a chain which generalizes the “canonical filtration” of vector bundles for  $G = SL_n$  (cf. [G1]) or respectively lattices in the number field case (cf. [St1] and [G2]).

Above all we are interested in the *boundary*  $Y := \partial X'$  of the unstable region,

which can be described for a parabolic group  $Q$  of cotype  $\Delta_0 \neq \emptyset$  as follows:

$$Y_Q := \partial X_Q'' := \left\{ x \in X_Q'' \left| \begin{array}{l} c_{\beta,\alpha}(x) \geq C_1 \text{ for all } \alpha \in \Delta \setminus \Delta_0 \text{ and all} \\ B \subseteq Q \\ c_{\beta,\alpha}(x) \geq C_2 \text{ for all } \alpha \in \Delta_0 \text{ and equality for} \\ \text{at least one } B \subseteq Q \end{array} \right. \right\}$$

$$Y = \partial X' := \bigcup_Q \partial X_Q'' .$$

In the next step we distinguish *geodesic lines* in  $X_Q''$ : A point  $x \in X_Q''$  with coordinates  $\alpha(x)$  for an appropriate  $B$  determines uniquely a boundary point  $y \in Y_Q$  by setting  $\alpha(y) = \alpha(x)$  for all  $\alpha \in \Delta - \Delta_0$  and  $\alpha(y) = C_2$  for all  $\alpha \in \Delta_0$ , the segment  $\overline{xy}$  lies on a geodesic. The “geodesic action” on this line in the apartment  $X_T$  is given by the torus  $T_{\Delta_0} := \{t \in T \mid \alpha(t) = 0 \text{ for all } \alpha \in \Delta - \Delta_0\}$ , contained in the radical of  $Q = P_{\Delta_0}$ , centralizing its semi-simple part. Along these geodesic lines we can define a retraction of  $X_Q''$  to its boundary  $Y_Q$ , for instance parametrized by the distance function  $d_Q$ . Therefore the local definitions fit together for  $X_Q'$ , but unfortunately they define no retraction from  $X_Q'$  to  $\partial X_Q'$  since the geodesic lines are branching into different apartments.

We shall need a further retraction from the sets  $X_P'$  to “infinity” along geodesics of “type  $P_{\Delta_0}$ ”, given by the action of  $T_{\Delta_0}$ , see next section.

**Example.**  $G = SL_n$ ,  $\Gamma = SL_n(\mathbb{F}_q[t])$

1. In this case  $\Gamma$  admits a strict simplicial fundamental domain  $D$  which is a sector  $D_{B,T}$  for a fixed pair  $T \subset B$ : see [Ab], I.3); this result can also be deduced from reduction theory with Siegel sets. This corresponds to the fact that we can choose  $C_1 = 0$ ,  $C_2 = 1$  in Harder’s theory for this case. One may then define the polyhedral decomposition locally in  $D$  and extend it to  $X$  by the action of  $\Gamma$ .
2. In order to show that origins  $O_B$  and  $O_{B'}$  of different sectors in an apartment must not coincide, we use  $n = 3$ : Denote by  $B^+$  the upper triangular matrices in  $SL_3$ , by  $B^- = w B^+ w^{-1}$  with  $w = \begin{pmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$  the lower triangular matrices, define  $B' = g \cdot B^- := g B^- g^{-1} = g w B^+ w^{-1} g^{-1}$  with  $g = \begin{pmatrix} 1 & 0 & t^{-n} \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ ,  $n \in \mathbb{N}$ , such that  $B^+$  and  $B'$  are opposite Borel groups,

defining an apartment  $A$ . We obtain an equation  $gw = \gamma wb$  with  $\gamma \in \Gamma$ ,  $b \in B(\mathbb{F}_q(t))$ , explicitly

$$\begin{pmatrix} t^{-n} & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ t^n & 0 & -1 \end{pmatrix} \begin{pmatrix} t^{-n} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & t^n \end{pmatrix} \begin{pmatrix} 1 & 0 & t^{-n} \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Compute

$$\begin{aligned} c_{B',\alpha'}(O_B) &= c_{gw \cdot B^+, gw(\alpha)}(gw(O_B)) \\ &\quad (\text{since } w(O_B) = O_B \text{ and } g \text{ fixes a half-plane containing } O_B) \\ &= c_{\gamma w B^+, \gamma w(\alpha)}(\gamma w \cdot b(O_B)) \\ &= c_{B^+, \alpha}(b(O_B)) \\ &\quad (\text{by left-invariance of the measure}) \\ &= c_{B^+, \alpha}(O_B) + v(\alpha(b)) = 0 - n \end{aligned}$$

which is valid for  $\alpha = \alpha_1$  and  $\alpha = \alpha_2$ , thus  $O_B \neq O_{B'}$ : to get  $O_{B'}$ , we have to shift  $O_B$  in “direction of  $B'$ ”, precisely: with the coordinates  $\alpha_1, \alpha_2$  corresponding to  $B$  one has  $O_{B'} = (-n, -n)$ .

### 3. Compactification of the Bruhat–Tits Building

For the boundary at infinity of  $X$  we do not use the topologization of the building at infinity due to Borel–Serre; it is more convenient to have the compactification, constructed by *Landvogt* in [L], but we restrict it to the part defined over  $F$ .

For a local field  $\widehat{F}$  and a reductive algebraic group  $H$  denote by  $X(H)$  the Bruhat–Tits building for the pair  $(H, \widehat{F})$ , then define

$$\overline{X} := \overline{X}(G) := \bigcup_{P \in \mathcal{P}} X(P/R_u(P)),$$

where  $\mathcal{P}$  is the set of all parabolic  $F$ -subgroups of  $G$  and  $R_u(P)$  the unipotent radical of  $P$  (cf. [L], 14.21).  $\overline{X}$  is equipped with a topology which comes from the  $\widehat{F}$ -analytic topology on  $G(\widehat{F})$  and the compactification of apartments, described below, and it induces the metric topology on each of the buildings  $X(P/R_u(P))$ . Consequently we consider only the — incomplete, but good (cf. [Br2], VI.9) — apartment system  $\mathcal{A}$ , defined over  $F$ , which is in 1-1-correspondence with the apartment system  $\mathcal{A}_0$  of the Tits building  $X_0$  of  $G(F)$ .

For  $A \in \mathcal{A}$  denote by  $V$  the underlying  $\widehat{F}$ -vector space, by  $\Sigma$  the Coxeter complex with respect to  $G$  in  $V$ , by  $C$  a chamber of  $\Sigma$  and by  $\Delta(C)$  a set of simple roots,

such that  $C = \{x \in A \mid \alpha(x) \geq 0 \text{ for all } \alpha \in \Delta(c)\}$ . For an open face  $C'$  of  $C$ , set  $\Delta(C') := \{\alpha \in \Delta(C) \mid \alpha|_{C'} > 0\}$  and denote by  $\langle C' \rangle$  the subspace of  $V$ , generated by  $C'$ .  $V^C := \bigcup_{\substack{C' \in \Sigma \\ C' \subseteq C}} V/\langle C' \rangle$  is called the *corner* defined by  $C$ .

Provide  $\widetilde{\mathbb{R}} := \mathbb{R} \cup \{\infty\}$  with its natural topology and topologize  $V^C$  in such a way that the map  $f : V^C \longrightarrow \widetilde{\mathbb{R}}^n$ , given by

$$f(x + \langle C' \rangle) := \begin{cases} \infty & \text{for } \alpha \in \Delta(C') \\ \alpha(x) & \text{for } \alpha \in \Delta(C) \setminus \Delta(C') \end{cases}$$

is a homeomorphism.

A set  $U \subseteq \overline{V} := \bigcup_{C' \in \Sigma} V/\langle C' \rangle$  is called open if  $U \cap V^C$  is open for all chambers  $C \in \Sigma$ ; by that  $\overline{V}$  becomes compact and is called the compactification of  $V$ .  $\overline{A} := A \times {}^V V^\Sigma$  is then the *compactification* of  $A$  with corners  $A^C$  (cf. [L], §2).

We abbreviate in the following:  $X(P) := X(P/R_u(P))$ , and we define the **boundary** of  $\overline{X}$  by

$$\partial \overline{X} := \overline{X} \setminus X = \bigcup_{P \neq G} X(P).$$

The closure of  $X(P)$  in  $\overline{X}$  is given by  $\bigcup_{Q \subseteq P} X(Q)$ ; we shall also need

$$X_P := X \cup X(P).$$

Our next aim is to determine the *homotopy type of the unstable region*  $X'$ , using the cover with the sets  $X'_P$ ,  $P$  a maximal parabolic  $F$ -group. The nerve of this cover is the spherical Tits building  $X_0$  which is known to be  $(r-1)$ -spherical. For this purpose we have to show that the sets  $X'_P$  and their intersections  $X'_Q$  ( $Q$  an arbitrary  $F$ -parabolic group) are contractible, and to prove this we construct *retractions to infinity*, more precisely to  $X(Q)$ , defined by the geodesic action of the torus  $T_{\Delta_0}$  for  $Q = P_{\Delta_0}$ . To describe it in a sector  $D_{B,T}$ ,  $T \supseteq T_{\Delta_0}$ , it is helpful not to use all local coordinates  $\alpha$  for  $D_{B,T}$  ( $\alpha \in \Delta$ ), but only those  $\alpha$ , lying in  $\Delta - \Delta_0$  and to complete them with the functions  $d_P$  for all  $P = P_\alpha$ ,  $\alpha \in \Delta_0$  (this is admissible since the roots in  $\Delta - \Delta_0$  and the fundamental weights for  $\Delta_0$  are linearly independent). Then we can define the map

$$r_{Q,B,T} : D_{Q,B,T} \times [0, \infty] \longrightarrow D_{Q,B,T} \quad (Q \supseteq B)$$

for  $D_{Q,B,T} := \overline{D_{B,T} \cap X'_Q} \cap X_Q$  where the closure is meant in  $\overline{X}$ , given by  $r_{Q,B,T}(x, t) = x_t$  with

$$\begin{aligned}
\alpha(x_t) &= \alpha(x) && \text{for all } \alpha \in \Delta - \Delta_0 \text{ and } x \in X \\
d_P(x_t) &= d_P(x) + t && \text{for all } P = P_\alpha, \alpha \in \Delta_0 \text{ and } x \in X \\
\alpha(x) &= x && \text{for all } \alpha \in \Delta, x \in \overline{X} \setminus X.
\end{aligned}$$

For different tori  $T$  and  $T'$ , containing  $T_{\Delta_0}$ , points  $x \in D_{B,T}$  and  $x' \in D_{B',T'}$  can have the same image for  $t = \infty$  in  $X(Q)$ , described by different systems of coordinates  $\alpha$ , coming from the apartments  $X_T$  and  $X_{T'}$  respectively, but the coordinates  $d_P$  for  $P \supseteq Q$  are defined independently from these apartments. Thus the maps  $r_{Q,B,T}$  fit together, defining for  $t = \infty$  a retraction

$$r_Q : \overline{X}'_Q \cap X_Q \longrightarrow X(Q) .$$

The map  $r_Q$  is continuous since its restrictions to the sectors  $D_{B,T}$  are fibrations. Moreover, the map  $r_Q$  is surjective: For each point  $x \in X(Q)$  we find a point  $x'$  projecting to  $x$  for sufficiently large values  $d_P(x')$  for all  $P \supseteq Q$  such that  $x'$  is close to  $Q$ , and therefore exists  $B \subseteq Q$  for which  $x'$  is reduced, so  $x' \in D_{B,T}$  for some  $T \subseteq B$  and  $x' \in D_{B,T} \cap X'_Q$ .

Finally the affine building  $X(Q)$  is contractible, thus by the retraction  $r_Q$  the set  $\overline{X}'_Q \cap X_Q$  is also contractible and as a metrizable manifold the same is true for its interior  $X'_Q$  (cf. [BS1], 8.3.1).

**Proposition 1.** *The unstable region  $X'$  is  $(r - 1)$ -spherical.*

*Proof:*  $X' = \bigcup_{P \in \mathcal{P}_{\max}} X'_P$  with  $\mathcal{P}_{\max} := \{P \text{ maximal } F\text{-parabolic in } G\}$ , the non-empty intersections of the covering sets  $X'_P$  are of type  $X'_Q$ ,  $Q$   $F$ -parabolic, and we have seen above that all these sets are contractible. The covering sets are closed and the cover is locally finite because  $X$  is a locally finite simplicial complex. Its nerve is given by the spherical Tits building  $X_0$  as an abstract complex which is known to be  $(r - 1)$ -spherical. Thus we obtain that  $X'$  is  $(r - 1)$ -spherical, using the same theorem as Borel–Serre in [BS1], 8.2.

**Remark.** For the group  $G = SL_n$  (or  $G = GL_n$ ) proposition 1 was proved by Grayson with a similar argument using vector bundles (cf. [G1], thm. 4.1).

The same idea can be used for  $\partial \overline{X} := \overline{X} - X = \bigcup_{P \neq G} X(P)$ . We have the natural

cover  $\partial \overline{X} = \bigcup_{P \neq G} \overline{X(P)}$  with  $\overline{X(P)} = \bigcup_{Q \subseteq P} X(Q)$ ; all these sets are contractible as

Bruhat–Tits buildings or closures of them and their intersection pattern is given again by  $X_0$ . So we get the

**Corollary.**  *$\partial \overline{X}$  is  $(r - 1)$ -spherical.*

## 4. Buildings with Opposition

- (a) In each apartment  $A_0$  of a *spherical building*  $X_0$  there exists a natural opposition involution. If  $A_0$  is described as an abstract Coxeter complex  $\Sigma = \Sigma(W, S)$  with group  $W$  and generating set  $S$ ,  $W_J = \langle J \rangle$  for  $J \subseteq S$ , i.e.  $\Sigma = \{wW_J \mid w \in W, J \subseteq S\}$  and  $w_0$  denotes the element of maximal length in  $W$ , then define

$$\text{op}_\Sigma(wW_J) := ww_0W_{w_0Jw_0} ;$$

especially if the Coxeter diagram has no non-trivial symmetry, then  $w_0Jw_0 = J$  for all  $J$ .

If  $X_0$  is the spherical *Tits building* of a group  $G(F)$  ( $G$  reductive,  $F$  a field), the simplices of  $X_0$  may be identified with the proper  $F$ -parabolic subgroups of  $G(F)$ . Each such group has a Levi decomposition  $P = L \times R_u(P)$ , and two parabolics are called *opposite* if they have a common Levi subgroup, more precisely,

$$P \text{ op } P' : \iff P \cap P' \text{ is a Levi subgroup of } P \text{ and } P'.$$

$[R_u(P)](F)$  acts simply transitive on the set of all parabolic subgroups opposite  $P$  (cf. [BT], §4), thus we can identify them with the elements of this radical if we distinguish one opposite group.

- (b) Pairs of opposite simplices of a spherical building with incidence in both components provide again a simplicial complex. It was introduced by R. Charney (see [C]) for  $G = GL_n$ , even over Dedekind domains in the language of flags; she showed that it has the same homotopy type as the spherical building of  $GL_n$  itself. Lehrer and Rylands (see [LR]) defined such a complex for reductive groups  $G$  — they called it the “*split building*” of  $G$  — and proved the corresponding homological result for types  $A_n$  and  $C_n$ . A. von Heydebreck (see [vH]) considered this complex for arbitrary spherical buildings and showed that it is also  $(n-1)$ -spherical in dimension  $n$ . We use the definition

$$\text{Opp } X_0 := \{(P, P') \mid P \text{ op } P'\}.$$

- (c) Moreover, we need a subcomplex of  $\text{Opp } X_0$ , where the opposition relation is defined with respect to  $\Gamma$ .

As a first step we distinguish an apartment  $A_1 = X_{T_1}$  of  $X$ ,  $T_1$  a maximal split  $F$ -torus such that  $N(T_1) \cap \Gamma$  contains (a copy of) the Weyl group  $W$  of  $X_0$  (for instance,  $A_1$  could contain a vertex with stabilizer  $G(\widehat{O}) \supset G(\mathbb{F}_q) \supset W$ ). We fix a Borel group  $B_1 \supset T_1$  and its opposite  $B'_1$  in  $A_1$ . The choice of  $B'_1$  defines an identification of  $\text{Opp } B_1 := \{B' \mid B' \text{ op } B_1\}$  with  $U_{B_1}(F)$ , and we can consider the subset  $\text{Opp}_\Gamma B_1$ , corresponding to  $U_{B_1}(F) \cap \Gamma =: U_1 \cap \Gamma$  such that

$$\text{Opp}_\Gamma B_1 := \{B' = \gamma_1 B'_1 \gamma_1^{-1} \mid \gamma_1 \in U_1 \cap \Gamma\}.$$

We extend this notion  $\Gamma$ -invariant: For  $B = \gamma B_1 \gamma^{-1}$  with  $\gamma \in \Gamma$ , the element  $\gamma$  is determined up to  $B_1(F) \cap \Gamma$ , so we obtain different opposite Borel groups  $B' = \delta B'_1 \delta^{-1}$  with  $\delta \in \gamma \cdot (U_1 \cap \Gamma)$  — neglecting the torus component in  $T_1 \subset B_1$  since it fixes also  $B'_1$ . Consequently the identification of  $\text{Opp } B$  with  $U_B(F)$  depends on the choice of  $\delta$ , but this has no influence on the definition

$$\text{Opp}_\Gamma B := \{B' = \gamma' B'(\gamma')^{-1} \mid \gamma' \in U_B(F) \cap \Gamma\}$$

because  $U_B = \gamma U_1 \gamma^{-1}$ , which implies with  $u, u' \in U_1 \cap \Gamma$ :

$$\gamma' B'(\gamma')^{-1} = \gamma u' \gamma^{-1} \gamma u B'_1 (\gamma u)^{-1} (\gamma u' \gamma^{-1})^{-1} = \gamma u' u B'_1 (\gamma u' u)^{-1}$$

thus  $\text{Opp}_\Gamma B = \gamma \cdot \text{Opp}_\Gamma B_1 \gamma^{-1}$ .

In general, not all  $F$ -Borel groups are conjugate under  $\Gamma$ ; there exist finitely many  $\Gamma$ -conjugacy classes (see part E of reduction theory). We fix a set  $B_1, B_2 = g_2 B_1 g_2^{-1}, \dots, B_h = g_h B_1 g_h^{-1}$  ( $g_i \in G(F)$ ) of representatives and also of their opposite groups  $B'_1, B'_2 = g_2 B'_1 g_2^{-1}, \dots, B'_h = g_h B'_1 g_h^{-1}$ , and define in the same way as above

$$\text{Opp}_\Gamma B_i := \{B' = \gamma_i B'_i \gamma_i^{-1} \mid \gamma_i \in U_{B_i}(F) \cap \Gamma\}, \quad i = 1, \dots, h$$

and for  $B = \gamma B_i \gamma^{-1}$ ,  $B' = \gamma B'_i \gamma^{-1}$

$$\text{Opp}_\Gamma B := \{B' = \gamma' B(\gamma')^{-1} \mid \gamma' \in U_B(F) \cap \Gamma\}$$

which does not depend on the special choice of  $B'$  (but we don't have  $g_i \text{Opp}_\Gamma B_1 g_i^{-1} = \text{Opp}_\Gamma B_i$  in general).

Finally we can make the same procedure with parabolic groups, starting with the set of standard parabolic groups  $Q_1$  containing  $B_1$  and their opposites  $Q'_1 \supseteq B'_1$ . Since  $Q_1$  and  $Q'_1$  have a Levi subgroup in common, we obtain all  $\Gamma$ -opposites of  $Q_1$  by conjugation of  $Q'_1$  with elements from  $U_{Q_1}(F) \cap \Gamma$  and we have to restrict in all definitions above the groups  $U_B(F) \cap \Gamma$  to its subgroups  $U_Q(F) \cap \Gamma$  for  $Q \supseteq B$ . We denote this relation by  $\text{Opp}_\Gamma$  and define

$$\text{Opp}_\Gamma X_0 := \{Q, Q' \mid Q \text{ op}_\Gamma Q'\}.$$

## 5. Proof of the theorem (sketch)

In order to define a retraction from the unstable region to its inner boundary, we have to split it up into apartments, thereby constructing a bigger complex (part of an “*affine split building*”) as follows:

Denote by  $\mathcal{T}, \mathcal{B}, \mathcal{Q}$  and  $\mathcal{P}$  the sets of maximal tori, Borel groups, parabolic and maximal parabolic groups in  $G$ , all defined over  $F$  (for other notations cf. section 2)

$$Z := \{(x, T) \in X' \times \mathcal{T} \mid \exists B \in \mathcal{B} : x \in D_{B,T}\},$$

by definition  $D_{B,T} \subset X_T$  and  $T \subset B$ .

Since a maximal torus  $T$  is uniquely determined by a pair of opposite Borel groups  $(B, B')$ , say  $T = T_{B,B'}$ , there exists an equivalent description

$$Z = \{(x, B') \in X' \times \mathcal{B} \mid \exists B \in \mathcal{B} : B \text{ op } B', x \in D_{B,T} \text{ for } T = T_{B,B'}\}$$

In  $Z$  we need an equivalence relation, according to the structure of  $\text{Opp}X_0$ , so we define

$$(x_1, T_1) \sim (x_2, T_2) \iff \begin{cases} x_1 = x_2 =: x \in D_{B_1, T_1} \cap D_{B_2, T_2} \\ \exists Q \in \mathcal{Q} : Q \supseteq B_1, Q \supseteq B_2, x \in X''_Q \end{cases}$$

The group  $Q$  is uniquely determined by reduction theory and this fact implies the transitivity of the relation. We can define the equivalence also using the second description of  $Z$ :

$$(x_1, B'_1) \sim (x_2, B'_2) \iff \begin{cases} x_1 = x_2 =: x \\ \exists (Q, Q') \in \text{Opp}X_0 : B_i \subseteq Q, B'_i \subseteq Q' \text{ for } i = 1, 2 \\ x \in X''_Q \end{cases}$$

In this situation the common Levi subgroup  $L$  of  $Q$  and  $Q'$  is the centralizer of a torus  $T_L$  (not necessarily maximal), contained in  $T_1 \cap T_2$ . Let us denote by

$[x, B']$  the class of  $(x, B')$  and by

$$\tilde{X}' := Z / \sim = \{[x, B'] \mid (x, B') \in Z\} \quad \text{and}$$

$$\tilde{X}'_{Q, Q'} := \{[x, B'] \in \tilde{X}' \mid x \in X'_Q, B' \subseteq Q'\} \text{ for } (Q, Q') \in \text{Opp}X_0,$$

and finally the analogous definition for  $\tilde{X}''_{Q, Q'}$  with  $x \in X''_Q$ .

The *topology* of  $\tilde{X}'$  is given as follows: We choose for  $X'$  the metric topology as a subspace of the affine building  $X$ , for  $\mathcal{T}$  and  $\mathcal{B}$  the  $\hat{F}$ -analytic topology induced from  $G(\hat{F})$ , since all maximal tori in  $\mathcal{T}$  or all Borel groups in  $\mathcal{B}$  are conjugate

under  $G(F)$ ; finally we have the product topology on  $Z$  and the quotient topology on  $\tilde{X}'$ .

One should emphasize that every point  $(x, B')$  has an open neighbourhood in  $Z$  of the form  $U \times V$ , where  $U$  is the disjoint union of open sets  $U_T$  in  $X_T$ , because the complex  $X$  is locally finite, so we can avoid ramification inside  $U_T$ . For a point  $[x, B']$  in  $\tilde{X}''_{Q,Q'} \subset \tilde{X}'$  there exists a neighbourhood  $U \times V$ , where  $U$  is the union of segments of geodesic lines in  $\tilde{X}''_{Q,Q'}$ , defined by the torus  $T = T_{\Delta_0}$  if  $Q$  and  $Q'$  are both of cotype  $\Delta_0$ .

We want moreover to define a *boundary at infinity* for  $\tilde{X}'$ , generalizing the construction of Landvogt. There the Bruhat–Tits buildings  $X(Q) := X(Q/R_u(Q))$ , which contribute to the boundary  $\partial\bar{X}$  are defined only by quotient groups. For a pair  $(Q, Q')$  of opposite parabolic groups, the common Levi group  $L = Q \cap Q'$  is isomorphic to  $Q/R_u(Q)$ , so we may consider  $X(L)$  instead of  $X(Q)$ , defined by a subgroup of  $G$ . For  $\tilde{X}'$  it is more convenient to split up also  $\partial\bar{X}$ , using the different buildings  $X(L)$  instead of a single  $X(Q)$ . Therefore we set

$$\begin{aligned} \partial_\infty \tilde{X}' &:= \bigcup X(L) \text{ , where } L = Q \cap Q' \text{ , } (Q, Q') \in \text{Opp } X_0. \\ \bar{X}' &:= \overset{L}{\tilde{X}'} \cup \partial_\infty \tilde{X}' . \end{aligned}$$

The details are the same as in Landvogt’s construction, but let us remark that for a point of  $\partial_\infty \tilde{X}'$  each neighbourhood meets infinitely many “apartments”  $\tilde{X}'_T := \{[x, T] \in \tilde{X}' \mid x \in X_T\}$ .

Now we can imitate the proof of proposition 1, in order to determine the *homotopy type* of  $\tilde{X}'$ . We have a cover

$$\tilde{X}' = \bigcup_{\text{Opp } X_0} \tilde{X}'_{Q,Q'} = \bigcup_{(P,P')} \tilde{X}'_{P,P'} \text{ with } (P, P') \in \text{Opp } X_0 \cap (\mathcal{P} \times \mathcal{P})$$

with closed sets; their intersections are given by

$$\tilde{X}'_{Q,Q'} = \bigcap \left\{ \tilde{X}'_{P,P'} \mid (P, P') \supseteq (Q, Q') \right\}$$

thus this cover has the nerve  $\text{Opp } X_0$ .

The covering sets and their intersections can be surjectively contracted to  $X(L) \subset \partial_\infty \tilde{X}$  along geodesic lines defined by the torus  $T_L$  in the center of  $L = Q \cap Q'$  and  $X(L)$  is a contractible space, so  $\tilde{X}'_{Q,Q'}$  is also contractible. Using the result of v. Heydebreck, cited in section 4, we know that  $\text{Opp } X_0$  is  $(r - 1)$ -spherical and therefore we have

**Proposition 2.**  $\tilde{X}'$  is  $(r - 1)$ -spherical.

But in contrast to  $X'$  it is now possible to retract  $\tilde{X}'$  to its “inner boundary” (cf. section 2)

$$\tilde{Y} := \partial_0 \tilde{X}' := \{[x, B'] \in \tilde{X}' \mid x \in Y\}$$

along geodesic lines in  $\tilde{X}''_{Q, Q'}$ , which do not ramify in  $\tilde{X}'$ , because we identified different apartments only in these sets  $\tilde{X}''_{Q, Q'}$ , and the geodesics coincide in their intersections. Thus we have

**Corollary.**  $\tilde{Y}$  is  $(r - 1)$ -spherical.

We need the analogous results for a subcomplex  $\tilde{X}'_\Gamma$  of  $\tilde{X}'$ , replacing in the definitions the relation “op” by “op $_\Gamma$ ”, consequently we have to admit only pairs of Borel groups  $(B, B')$  with  $B \text{ op}_\Gamma B'$  and tori  $T_{B, B'}$  for  $(B, B') \in \text{Opp}_\Gamma X_0$ . For this purpose we require that also  $\text{Opp}_\Gamma X_0$  is  $(r - 1)$ -spherical which is true for  $G = SL_n$  by the proof of Charney (see [C]), for the general case see the appendix. Then we obtain

**Proposition 3.**  $\tilde{X}'_\Gamma$  and  $\tilde{Y}_\Gamma := \tilde{Y} \cap \tilde{X}'_\Gamma$  are  $(r - 1)$ -spherical.

The next step is to show that  $\tilde{Y}_\Gamma$  is modulo  $\Gamma$  a finite complex — this is the only point where we need  $\tilde{X}'_\Gamma$  instead of  $\tilde{X}'$ . For the points of  $\tilde{Y}_\Gamma$  the numerical invariants of reduction theory are bounded from above (and below by definition), so part D of the “main theorem” says that  $\tilde{Y}_\Gamma/\Gamma$  is compact. Moreover, by part (E) there exist only finitely many conjugacy classes of Borel groups, therefore in a set of representatives  $[y, B']$  for  $\tilde{Y}_\Gamma/\Gamma$  with  $y \in D_{B, T}$  only finitely many Borel groups  $B$  occur, and since  $B' \text{ op}_\Gamma B$ , there is only one  $B'$  modulo  $\Gamma$  for each  $B$ :  $\tilde{Y}_\Gamma/\Gamma$  is a finite complex. Since all stabilizers in  $\Gamma$  are finite, we can apply the finiteness criterion of K. Brown (see [Br1], 1.1 and 3.1) to get

**Proposition 4.**  $\Gamma$  is of type  $F_{r-1}$ .

**Remark** to the conjecture “ $\Gamma$  is not of type  $F_r$ ”:

Construct an infinite series of  $(r - 1)$ -spheres  $S_k$  in  $Y = \partial_0 X'$ , which are contractible only in growing parts  $X_k$ , defined by a (rough) filtration of  $X$ ; then  $\{\pi_{r-1}(X_k)\}$  is not “essentially trivial” in the sense of K. Brown (see [Br1], 2).

## 6. Appendix

For the group  $G = SL_n$  the complex  $\text{Opp}_\Gamma X_0$  is also  $(r - 1)$ -spherical by [C] and so are  $\tilde{X}'_\Gamma$  and  $\tilde{Y}'_\Gamma$ . It is not true that  $\text{Opp}_\Gamma X_0$  is a deformation retract of  $\text{Opp } X_0$ , as was shown by Abramenko, who constructed a counter-example. But we have the following

**Lemma.**  $\tilde{X}'_\Gamma$  is a deformation retract of  $\tilde{X}'$ .

*Proof:* We wish to map a point  $[x, B']$  of  $\tilde{X}'$  with  $x \in D_{B,T} \subset X_T$ ,  $B \text{ op } B'$ ,  $T = T_{B,B'}$  to  $[x, B'_0]$  with the same  $x \in X'$  and  $B'_0 \text{ op}_\Gamma B$ , obtaining a new torus  $T_0 := T_{B,B'_0}$ .

Identifying the Borel groups opposite to  $B$  with elements of  $U(F)$  (the unipotent radical of  $B$ ), for  $[x, B']$  the group  $B'$  corresponds to an element of  $U(F) \cap K_x$  with  $K_x = \text{Stab}_{G(F)}(x)$  since  $x \in X_{T_{B,B'}}$ . This compact group contains finitely many elements of the discrete group  $U(F) \cap \Gamma$ ; we have to make a choice: There is one element, defining  $B'_0$  and  $T_0$ , such that  $X_{T_0} \cap X_T$  is maximal because the intersection is given as the intersection of half-apartments, defined by root groups, and for a Chevalley group,  $U$  is the semi-direct product of its root-groups. This definition is compatible with the equivalence relation in  $Z$  and the map induces the identity on  $\tilde{X}'_\Gamma$ .

This map is also continuous: The topology in the second component is induced by the analytic topology of the group  $G(\hat{F})$ ; an element of  $U(F) \cap K_x$  has a neighbourhood which contains only one element of  $U(F) \cap \Gamma$ , due to its discreteness.

**Remark.** Since  $\text{Opp}_\Gamma X_0$  is the nerve of a cover of  $\tilde{X}'_\Gamma$ , we proved indirectly that it is  $(r - 1)$ -spherical. A direct proof for groups over Dedekind rings would be of interest.

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