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Let  $k$  be a field. Let  $\text{Cr}(k)$  be the Cremona group of rank 2 over  $k$ , i.e. the group of  $k$ -automorphisms of  $k(X, Y)$ , where  $X$  and  $Y$  are two indeterminates.

We shall be interested in the finite subgroups of  $\text{Cr}(k)$  of order prime to the characteristic of  $k$ . The case  $k = \mathbf{C}$  has a long history, going back to the 19-th century (see the references in [Bl 06] and [DI 07]), and culminating in an essentially complete (but rather complicated) classification, see [DI 07]. For an arbitrary field, it seems reasonable to simplify the problem à la Minkowski, as was done in [Se 07] for semisimple groups; this means giving a sharp multiplicative bound for the orders of the finite subgroups we are considering.

In §6.9 of [Se 07], I had asked a few questions in that direction, for instance the following :

If  $k = \mathbf{Q}$ , is it true that  $\text{Cr}(k)$  does not contain any element of prime order  $\geq 11$ ?

More generally, what are the prime numbers  $\ell$ , distinct from  $\text{char}(k)$ , such that  $\text{Cr}(k)$  contains an element of order  $\ell$ ?

This question has now been solved by Dolgachev and Iskovskikh ([DI 08]), the answer being that there is equivalence between :

$\text{Cr}(k)$  contains an element of order  $\ell$   
and

$[k(z_\ell) : k] = 1, 2, 3, 4$  or  $6$ , where  $z_\ell$  is a primitive  $\ell$ -th root of unity.

As we shall see, a similar method can handle arbitrary  $\ell$ -groups and one obtains an explicit value for the Minkowski bound of  $\text{Cr}(k)$ , in terms of the size of the Galois group of the cyclotomic extensions of  $k$  (cf. Th.2.1 below). For instance :

**Theorem** - *Assume  $k$  is finitely generated over its prime subfield. Then the finite subgroups of  $\text{Cr}(k)$  of order prime to  $\text{char}(k)$  have bounded order. Let  $M(k)$  be the least common multiple of their orders .*

a) *If  $k = \mathbf{Q}$ , we have  $M(k) = 120960 = 2^7 \cdot 3^3 \cdot 5 \cdot 7$ .*

b) *If  $k$  is finite with  $q$  elements, we have :*

$$M(k) = \begin{cases} 3 \cdot (q^4 - 1)(q^6 - 1) & \text{if } q \equiv 4 \text{ or } 7 \pmod{9} \\ (q^4 - 1)(q^6 - 1) & \text{otherwise.} \end{cases}$$

For more general statements, see §2. These statements involve the cyclotomic invariants of  $k$  introduced in [Se 07, §6]; their definition is recalled in §1. The proofs are given in §3 (existence of large subgroups) and in §4 (upper bounds). For the upper bounds, we use a method introduced by Manin ([Ma 66]) and perfected by Iskovskikh ([Is 79], [Is 96]) and Dolgachev-Iskovskikh ([DI 08]); it

allows us to realize any finite subgroup of  $\text{Cr}(k)$  as a subgroup of  $\text{Aut}(S)$ , where  $S$  is either a del Pezzo surface or a conic bundle over a conic. A few conjugacy results are given in §5. The last § contains a series of open questions on the Cremona groups of rank  $> 2$ .

## §1 The cyclotomic invariants $t$ and $m$

In what follows,  $k$  is a field,  $k_s$  is a separable closure of  $k$  and  $\bar{k}$  is the algebraic closure of  $k_s$ .

Let  $\ell$  a prime number distinct from  $\text{char}(k)$ ; the  $\ell$ -adic valuation of  $\mathbf{Q}$  is denoted by  $v_\ell$ , or sometimes simply by  $v$ . If  $A$  is a finite set, with cardinal  $|A|$ , we write  $v_\ell(A)$  instead of  $v_\ell(|A|)$ .

There are two invariants  $t = t(k, \ell)$  and  $m = m(k, \ell)$  which are associated with the pair  $(k, \ell)$ , cf. [Se 07, §4]. Recall their definitions :

### 1.1 Definition of $t$

Let  $z \in k_s$  be a primitive  $\ell$ -th root of unity if  $\ell > 2$  and a primitive 4-th root of unity if  $\ell = 2$ . We put

$$t = [k(z) : k].$$

If  $\ell > 2$ ,  $t$  divides  $\ell - 1$ . If  $\ell = 2$  or 3, then  $t = 1$  or 2.

### 1.2 Definition of $m$

For  $\ell > 2$ ,  $m$  is the upper bound (possibly infinite) of the  $n$ 's such that  $k(z)$  contains the  $\ell^n$ -th roots of unity. We have  $m \geq 1$ .

For  $\ell = 2$ ,  $m$  is the upper bound (possibly infinite) of the  $n$ 's such that  $k$  contains  $z(n) + z(n)^{-1}$ , where  $z(n)$  is a primitive  $2^n$ -root of unity. We have  $m \geq 2$ . [The definition of  $m$  given in [Se 07, §4.2] looks different, but it is equivalent to the one here.]

*Remark.* Knowing  $t$  and  $m$  amounts to knowing the image of the  $\ell$ -th cyclotomic character  $\text{Gal}(k_s/k) \rightarrow \mathbf{Z}_\ell^*$ , cf. [Se 07, §4].

### 1.3 Example : $k = \mathbf{Q}$

Here,  $t$  takes its largest possible value, namely  $t = \ell - 1$  for  $\ell > 2$  and  $t = 2$  for  $\ell = 2$ . And  $m$  takes its smallest possible value, namely  $m = 1$  for  $\ell > 2$  and  $m = 2$  for  $\ell = 2$ .

### 1.4 Example : $k$ finite with $q$ elements

If  $\ell > 2$ , one has :

$$t = \text{order of } q \text{ in the multiplicative group } \mathbf{F}_\ell^*$$

$$m = v_\ell(q^t - 1) = v_\ell(q^{\ell-1} - 1).$$

If  $\ell = 2$ , one has :

$$\begin{aligned} t &= \text{order of } q \text{ in } (\mathbf{Z}/4\mathbf{Z})^* \\ m &= v_2(q^2 - 1) - 1. \end{aligned}$$

## §2 Statement of the main theorem

Let  $K = k(X, Y)$ , where  $X, Y$  are indeterminates, and let  $\text{Cr}(k)$  be the Cremona group of rank 2 over  $k$ , i.e. the group  $\text{Aut}_k K$ . Let  $\ell$  be a prime number, distinct from  $\text{char}(k)$ , and let  $t$  and  $m$  be the cyclotomic invariants defined above.

### 2.1 Notation

Define a number  $M(k, \ell) \in \{0, 1, 2, \dots, \infty\}$  as follows :

For  $\ell = 2$ ,  $M(k, \ell) = 2m + 3$ .

For  $\ell = 3$ ,  $M(k, \ell) = \begin{cases} 4 & \text{if } t = m = 1 \\ 2m + 1 & \text{otherwise.} \end{cases}$

For  $\ell > 3$ ,  $M(k, \ell) = \begin{cases} 2m & \text{if } t = 1 \quad \text{or } 2 \\ m & \text{if } t = 3, 4 \quad \text{or } 6 \\ 0 & \text{if } t = 5 \quad \text{or } t > 6. \end{cases}$

### 2.2 The main theorem

**Theorem 2.1.**(i) *Let  $A$  be a finite subgroup of  $\text{Cr}(k)$ . Then  $v_\ell(A) \leq M(k, \ell)$ .*

(ii) *Conversely, if  $n$  is any integer  $\geq 0$  which is  $\leq M(k, \ell)$  then  $\text{Cr}(k)$  contains a subgroup of order  $\ell^n$ .*

(In other words,  $M(k, \ell)$  is the upper bound of the  $v_\ell(A)$ .)

The special case where  $A$  is cyclic of order  $\ell$  gives :

**Corollary 2.2** ([DI 08]). *The following properties are equivalent :*

- a)  $\text{Cr}(k)$  contains an element of order  $\ell$
- b)  $\varphi(t) \leq 2$ , i.e.  $t = 1, 2, 3, 4$  or  $6$ .

Indeed, b) is equivalent to  $M(k, \ell) > 0$ .

### 2.3 Small fields

Let us say that  $k$  is *small* if it has the following properties :

$$(2.3.1) \quad m(k, \ell) < \infty \text{ for every } \ell \neq \text{char}(k)$$

$$(2.3.2) \quad t(k, \ell) \rightarrow \infty \text{ when } \ell \rightarrow \infty.$$

**Proposition 2.3.** *A field which is finitely generated over  $\mathbf{Q}$  or  $\mathbf{F}_p$  is small.*

*Proof.* The formulae given in §1.3 and §1.4 show that both  $\mathbf{F}_p$  and  $\mathbf{Q}$  are small. If  $k'/k$  is a finite extension, one has

$$[k' : k].t(k', \ell) \geq t(k, \ell) \quad \text{and} \quad m(k', \ell) \leq m(k, \ell) + \log_\ell([k' : k]),$$

which shows that  $k$  small  $\Rightarrow k'$  small. If  $k'$  is a regular extension of  $k$ , then

$$t(k', \ell) = t(k, \ell) \quad \text{and} \quad m'(k', \ell) = m(k, \ell),$$

which also shows that  $k$  small  $\Rightarrow k'$  small. The proposition follows.

Assume now that  $k$  is small. We may then define an integer  $M(k)$  by the following formula

$$(2.3.3) \quad M(k) = \prod_{\ell} \ell^{M(k, \ell)},$$

where  $\ell$  runs through the prime numbers distinct from  $\text{char}(k)$ . The formula makes sense since  $M(k, \ell)$  is finite for every  $\ell$  and is 0 for every  $\ell$  but a finite number. With this notation, Th. 2.1 can be reformulated as :

**Theorem 2.4.** *If  $k$  is small, then the finite subgroups of  $\text{Cr}(k)$  of order prime to  $\text{char}(k)$  have bounded order, and the l.c.m. of their orders is the integer  $M(k)$  defined above.*

Note that this applies in particular when  $k$  is finitely generated over its prime subfield.

## 2.4 Example : the case $k = \mathbf{Q}$

By combining 1.3 and 2.1, one gets

$$M(\mathbf{Q}, \ell) = \begin{cases} 7 & \text{for } \ell = 2, \\ 3 & \text{for } \ell = 3, \\ 1 & \text{for } \ell = 5, 7 \\ 0 & \text{for } \ell > 7. \end{cases}$$

This can be summed up by :

**Theorem 2.5.**  $M(\mathbf{Q}) = 2^7 \cdot 3^3 \cdot 5 \cdot 7$ .

## 2.5 Example : the case of a finite field

**Theorem 2.6.** *If  $k$  is a finite field with  $q$  elements, we have*

$$M(k) = \begin{cases} 3 \cdot (q^4 - 1)(q^6 - 1) & \text{if } q \equiv 4 \text{ or } 7 \pmod{9} \\ (q^4 - 1)(q^6 - 1) & \text{otherwise.} \end{cases}$$

*Proof.* Denote by  $M'(k, \ell)$  the  $\ell$ -adic valuation of the right side of the formulae above.

If  $\ell$  is not equal to 3,  $M'(k, \ell)$  is equal to

$$v_{\ell}(q^4 - 1) + v_{\ell}(q^6 - 1)$$

and we have to check that  $M'(k, \ell)$  is equal to  $M(k, \ell)$ .

Consider first the case  $\ell = 2$ . It follows from the definition of  $m$  that  $v_2(q^2 - 1) = m + 1$ , and hence  $v_2(q^4 - 1) = m + 2$  and  $v_2(q^6 - 1) = m + 1$ . This gives  $M'(k, \ell) = 2m + 3 = M(k, \ell)$ .

If  $\ell > 3$ , the invariant  $t$  is the smallest integer  $> 0$  such that  $q^t = 1 \pmod{\ell}$ . If  $t = 5$  or  $t > 6$ , this shows that  $M'(k, \ell) = 0$ .

If  $t = 3$  or  $6$ ,  $q^4 - 1$  is not divisible by  $\ell$  and  $q^6 - 1$  is divisible by  $\ell$ ; moreover, one has  $v_\ell(q^6 - 1) = m$ . This gives  $M'(k, \ell) = m = M(k, \ell)$ . Similarly, when  $t = 4$ , the only factor divisible by  $\ell$  is  $q^4 - 1$  and its  $\ell$ -adic valuation is  $m$ . When  $t = 1$  or  $2$ , both factors are divisible by  $\ell$  and their  $\ell$ -adic valuation is  $m$ .

The argument for  $\ell = 3$  is similar : we have

$$v_3(q^4 - 1) = m \quad \text{and} \quad v_3(q^6 - 1) = m + 1.$$

The congruence  $q \equiv 4$  or  $7 \pmod{9}$  means that  $t = m = 1$ .

For instance :

$$\begin{aligned} M(\mathbf{F}_2) &= 3^3.5.7; & M(\mathbf{F}_3) &= 2^7.5.7.13; & M(\mathbf{F}_4) &= 3^4.5^2.7.13.17; \\ M(\mathbf{F}_5) &= 2^7.3^3.7.13.31; & M(\mathbf{F}_7) &= 2^9.3^4.5^2.19.43. \end{aligned}$$

## 2.6 Example : the $p$ -adic field $\mathbf{Q}_p$

For  $\ell \neq p$ , the  $t, m$  invariants of  $\mathbf{Q}_p$  are the same as those of  $\mathbf{F}_\ell$ , and for  $\ell = p$  they are the same as those of  $\mathbf{Q}$ .

This shows that  $\mathbf{Q}_p$  is “ small ”, and a simple computation gives

$$M(\mathbf{Q}_p) = c(p).(p^4 - 1)(p^6 - 1),$$

with

$$\begin{aligned} c(2) &= 2^7; & c(3) &= 3^3; & c(5) &= 5; & c(7) &= 3.7; \\ c(p) &= 3 \quad \text{if } p > 7 \quad \text{and } p \equiv 4 \text{ or } 7 \pmod{9}; \\ c(p) &= 1 \quad \text{otherwise.} \end{aligned}$$

For instance :

$$\begin{aligned} M(\mathbf{Q}_2) &= 2^7.3^3.5.7; & M(\mathbf{Q}_3) &= 2^7.3^3.5.7.13; & M(\mathbf{Q}_5) &= 2^7.3^3.5.7.13.31; \\ M(\mathbf{Q}_7) &= 2^9.3^4.5^2.7.19.43; & M(\mathbf{Q}_{11}) &= 2^7.3^3.5^2.7.19.37.61. \end{aligned}$$

## 2.7 Remarks

1. The statement of Th.2.6 is reminiscent of the formula which gives the order of  $G(k)$ , where  $G$  is a split semisimple group and  $|k| = q$ . In such a formula, the factors have the shape  $(q^d - 1)$ , where  $d$  is an invariant degree of the Weyl group, and the number of factors is equal to the rank of  $G$ . Here also the number of factors is equal to the rank of  $\text{Cr}$ , which is 2. The exponents 4 and 6 are less easy to interpret. In the proofs below, they occur as the maximal orders of the torsion elements of the “ Weyl group ” of  $\text{Cr}$ , which is  $\mathbf{GL}_2(\mathbf{Z})$ . See also §6.

2. Even though Th.2.6 is a very special case of Th.2.1, it contains almost as much information as the general case. More precisely, we could deduce Th.2.1.(i)

[which is the hard part] from Th.2.6 by the Minkowski method of reduction (mod  $p$ ) explained in [Se 07, §6.5].

3. In the opposite direction, if we know Th.2.1.(i) for fields of characteristic 0 (in the slightly more precise form given in §4.1), we can get it for fields of characteristic  $p > 0$  by lifting over the ring of Witt vectors; this is possible : all the cohomological obstructions vanish.

4. For large fields, the invariant  $m$  can be  $\infty$ . If  $t$  is not 1, 2, 3, 4 or 6, Cor.2.2 tells us that  $\text{Cr}(k)$  is  $\ell$ -torsion-free. But if  $t$  is one of these five numbers, the above theorems tell us nothing. Still, as in [Se 07, §14, Th.12 and Th.13] one can prove the following :

a) If  $t = 3, 4$  or  $6$ , then  $\text{Cr}(k)$  contains a subgroup isomorphic to  $\mathbf{Q}_\ell/\mathbf{Z}_\ell$  and does not contain  $\mathbf{Q}_\ell/\mathbf{Z}_\ell \times \mathbf{Q}_\ell/\mathbf{Z}_\ell$ .

b) If  $t = 1$  or  $2$ , then  $\text{Cr}(k)$  contains a subgroup isomorphic to  $\mathbf{Q}_\ell/\mathbf{Z}_\ell \times \mathbf{Q}_\ell/\mathbf{Z}_\ell$  and does not contain a product of three copies of  $\mathbf{Q}_\ell/\mathbf{Z}_\ell$ .

### §3 Proof of Theorem 2.1.(ii)

We have to construct large  $\ell$ -subgroups of  $\text{Cr}(k)$ . It turns out that we only need two constructions, one for the very special case  $\ell = 3, t = 1, m = 1$ , and one for all the other cases.

#### 3.1 The special case $\ell = 3, t = 1, m = 1$

We need to construct a subgroup of  $\text{Cr}(k)$  of order  $3^4$ . To do so we use the Fermat cubic surface  $S$  given by the homogeneous equation

$$x^3 + y^3 + z^3 + t^3 = 0.$$

It is a smooth surface, since  $p \neq 3$ . The fact that  $t = 1$  means that  $k$  contains a primitive cubic root of unity. This implies that the 27 lines of  $S$  are defined over  $k$ , and hence  $S$  is  $k$ -rational : its function field is isomorphic to  $K = k(X, Y)$ . Let  $A$  be the group of automorphisms of  $S$  generated by the two elements

$$(x, y, z, t) \mapsto (rx, y, z, t) \quad \text{and} \quad (x, y, z, t) \mapsto (y, z, x, t)$$

where  $r$  is a primitive 3-rd root of unity.

We have  $|A| = 3^4$  and  $A$  is a subgroup of  $\text{Aut}(S)$ , hence a subgroup of  $\text{Cr}(k)$ .

#### 3.2 The generic case

Here is the general construction :

One starts with a 2-dimensional torus  $T$  over  $k$ , with an  $\ell$ -group  $C$  acting faithfully on it. Let  $B$  be an  $\ell$ -subgroup of  $T(k)$ . Assume that  $B$  is stable under  $C$ , and let  $A$  be the semi-direct product  $A = B.C$ . If we make  $B$  act on the variety  $T$  by translations, we get an action of  $A$ , which is faithful. This gives an embedding of  $A$  in  $\text{Aut}(k(T))$ , where  $k(T)$  is the function field of  $T$ . By a

theorem of Voskresinskii (see [Vo 98, §4.9])  $k(T)$  is isomorphic to  $K = k(X, Y)$ . We thus get an embedding of  $A$  in  $\text{Cr}(k)$ . Note that  $B$  is *toral*, i.e. is contained in the  $k$ -rational points of a maximal torus of  $\text{Cr}$ .

It remains to explain how to choose  $T$ ,  $B$  and  $C$ . We shall define  $T$  by giving the action of  $\Gamma_k = \text{Gal}(k_s/k)$  on its character group; this amounts to giving an homomorphism  $\Gamma_k \rightarrow \mathbf{GL}_2(\mathbf{Z})$ .

### 3.2.1 The case $\ell = 2$

Let  $n$  be an integer  $\leq m$ . If  $z(n)$  is a primitive  $2^n$ -root of unity,  $k$  contains  $z(n) + z(n)^{-1}$ . The field extension  $k(z(n))/k$  has degree 1 or 2, hence defines a character  $\Gamma_k \rightarrow 1, -1$ . Let  $T_1$  be the 1-dimensional torus associated with this character. If  $k(z(n)) = k$ ,  $T_1$  is the split torus  $\mathbf{G}_m$  and we have  $T_1(k) = k^*$ . If  $k(z(n))$  is quadratic over  $k$ ,  $T_1(k)$  is the subgroup of  $k(z(n))^*$  made up of the elements of norm 1. In both cases,  $T_1(k)$  contains  $z(n)$ . We now take for  $T$  the torus  $T_1 \times T_1$  and for  $B$  the subgroup of elements of  $T$  of order dividing  $2^n$ . We have  $v_2(B) = 2n$ . We take for  $C$  the group of automorphisms generated by  $(x, y) \mapsto (x^{-1}, y)$  and  $(x, y) \mapsto (y, x)$ ; the group  $C$  is isomorphic to the dihedral group  $D_4$ ; its order is 8. We then have  $v_2(A) = v_2(B) + v_2(C) = 2n + 3$ , as wanted.

(Alternate construction : the group  $\text{Cr}_1(k) = \mathbf{PGL}_2(k)$  contains a dihedral subgroup  $D$  of order  $2^{n+1}$ ; by using the natural embedding of  $(\text{Cr}_1(k) \times \text{Cr}_1(k))$  in  $\text{Cr}(k)$  we obtain a subgroup of  $\text{Cr}(k)$  isomorphic to  $(D \times D)$ , hence of order  $2^{2n+3}$ .)

### 3.2.2 The case $\ell > 2$

We start similarly with an integer  $n \leq m$ . We may assume that the invariant  $t$  is equal to 1, 2, 3, 4 or 6; if not we could take  $A = 1$ . Call  $C_t$  the Galois group of  $k(z)/k$ , cf. §1. It is a cyclic group of order  $t$ . Choose an embedding of  $C_t$  in  $\mathbf{GL}_2(\mathbf{Z})$ , with the condition that, if  $t = 2$ , then the image of  $C_t$  is  $\{1, -1\}$ . The composition map

$$r : \Gamma_k \rightarrow \text{Gal}(k(z)/k) = C_t \rightarrow \mathbf{GL}_2(\mathbf{Z})$$

defines a 2-dimensional torus  $T$ .

The group  $B$  is the subgroup  $T(k)[l^n]$  of  $T(k)$  made up of elements of order dividing  $l^n$ . We take  $C$  equal to 1, except when  $l = 3$  where we choose it of order 3 (this is possible since  $t = 1$  or 2 for  $l = 3$ , and the group of  $k$ -automorphisms of  $T$  is isomorphic to  $\mathbf{GL}_2(\mathbf{Z})$ ). We thus have :

$$v_\ell(A) = v_\ell(B) \text{ if } \ell > 3 \text{ and } v_\ell(A) = 1 + v_\ell(B) \text{ if } \ell = 3.$$

It remains to estimate  $v_\ell(B)$ . Namely :

$$(3.2.3) \quad v_\ell(B) = 2n \text{ if } t = 1 \text{ or } 2$$

This is clear if  $t = 1$  because in that case  $T$  is a split torus of dimension 2, and  $k$  contains  $z(n)$ .

If  $t = 2$ , then  $T = T_1 \times T_1$ , where  $T_1$  is associated with the quadratic character  $\Gamma_k \rightarrow \text{Gal}(k(z)/k)$ . We may identify  $T_1(k)$  with the elements of norm 1 of  $k(z)$ , and this shows that  $z(n)$  is an element of  $T_1(k)$  of order  $2^n$ . We thus get  $v_\ell(B) = 2n$ .

(3.2.4)  $v_\ell(|B|) \geq n$  if  $t = 3, 4$  or  $6$

We use the description of  $T$  given in [Se 07, §5.3] : let  $L$  be the field  $k(z)$ . It is a cyclic extension of  $k$  of degree  $t$ . Let  $s$  be a generator of  $C_t = \text{Gal}(L/k)$ . Let  $T_L = R_{L/k}(\mathbf{G}_m)$  be the torus ‘multiplicative group of  $L$ ’ ; we have  $\dim T_L = t$ , and  $s$  acts on  $T_L$ . We have  $s^t - 1 = 0$  in  $\text{End}(T_L)$ . Let  $F(X)$  be the cyclotomic polynomial of index  $t$ , i.e.

$$\begin{aligned} F(X) &= X^2 + X + 1 & \text{if } t = 3 \\ F(X) &= X^2 + 1 & \text{if } t = 4 \\ F(X) &= X^2 - X + 1 & \text{if } t = 6. \end{aligned}$$

This polynomial divides  $X^t - 1$  ; let  $G(X)$  be the quotient  $(X^t - 1)/F(X)$ , and let  $u$  be the endomorphism of  $T_1$  defined by  $u = G(s)$ . One checks (loc.cit.) that the image  $T$  of  $u : T_1 \rightarrow T_1$  is a 2-dimensional torus, and  $s$  defines an automorphism  $s_T$  of  $T$  of order  $t$ , satisfying the equation  $F(s_T) = 0$ . This shows that  $T$  is the same as the torus also called  $T$  above. Moreover, it is easy to check that the element  $z(n)$  of  $T_1(k)$  is sent by  $u$  into an element of  $T(k)$  of order  $l^n$ . This shows that  $v_\ell(B) \geq n$ .

[When  $t = 3$ , we could have defined  $T$  as the kernel of the norm map  $N : T_1 \rightarrow \mathbf{G}_m$ . There is a similar definition for  $t = 4$ , but the case  $t = 6$  is less easy to describe concretely.]

This concludes the proof of the ‘existence part’ of Th.2.1.

## §4 Proof of Theorem 2.1.(i)

### 4.1 Generalization

In Th.2.1.(i), the hypothesis made on the  $\ell$ -group  $A$  is that it is contained in  $\text{Cr}(k)$ . This is equivalent to saying that  $A$  is contained in  $\text{Aut}(S)$ , where  $S$  is a  $k$ -rational surface, cf. e.g. [DI 07, Lemma 6]. We now want to relax this hypothesis : we will merely assume that  $S$  is a surface which is ‘geometrically rational’, i.e. becomes rational over  $\bar{k}$  ; for instance  $S$  can be any smooth cubic surface in  $\mathbf{P}_3$ . In other words, we will be interested in field extensions  $L$  of  $k$  with the property :

$$(4.1.1) \quad \bar{k} \otimes L \text{ is } \bar{k}\text{-isomorphic to } \bar{k}(X, Y).$$

We shall say that a group  $A$  has ‘property  $\text{Cr}_k$ ’ if it can be embedded in  $\text{Aut}(L)$ , for some  $L$  having property (4.1.1). The bound given in Th.2.1.(i) is valid for such groups. More precisely :

**Theorem 4.1.** *If a finite  $\ell$ -group  $A$  has property  $\text{Cr}_k$ , then  $v_\ell(A) \leq M(k, \ell)$ , where  $M(k, \ell)$  is as in §2.1.*

This is what we shall prove. Note that we may assume that  $k$  is perfect since replacing  $k$  by its perfect closure does not change the invariants  $t, m$  and  $M(k, l)$ .

[As mentioned in §2.7, we could also assume that  $k$  is finite, or, if we preferred to, that  $\text{char}(k) = 0$ . Unfortunately, none of these reductions is really helpful.]

## 4.2 Reduction to special cases

We start from an  $\ell$ -group  $A$  having property  $\text{Cr}_k$ . As explained above, this means that we can embed  $A$  in  $\text{Aut}(S)$ , where  $S$  is a smooth projective  $k$ -surface, which is geometrically rational. Now, the basic tool is the “minimal model theorem” (proved in [DI 07, §2]) which allows us to assume that  $S$  is of one of the following two types :

a) (*conic bundle case*) There is a morphism  $f : S \rightarrow C$ , where  $C$  is a smooth genus zero curve, such that the generic fiber of  $f$  is a smooth curve of genus 0. Moreover,  $A$  acts on  $C$  and  $f$  is compatible with that action.

b) (*del Pezzo*)  $S$  is a del Pezzo surface, i.e. its anticanonical class  $-K_S$  is ample.

In case b), the degree  $\text{deg}(S)$  is defined as  $K_S.K_S$  (self-intersection); one has  $1 \leq \text{deg}(S) \leq 9$ .

We shall look successively at these different cases. In the second case, we shall use without further reference the standard properties of the del Pezzo surfaces; one can find them for instance in [De 80], [Do 07], [DI 07], [Ko 96], [Ma 66] and [Ma 86].

*Remark.* In some of these references, the ground field is assumed to be of characteristic 0, but there is very little difference in characteristic  $p > 0$ ; moreover, as pointed out above, the characteristic 0 case implies the characteristic  $p$  case, thanks to the fact that  $|A|$  is prime to  $\text{char}(k)$ .

## 4.3 The conic bundle case

Let  $f : S \rightarrow C$  be as in a) above, and let  $A_o$  be the subgroup of  $\text{Aut}(C)$  given by the action of  $A$  on  $C$ . The group  $\text{Aut}(C)$  is a  $k$ -form of  $\mathbf{PGL}_2$ . By using (for instance) [Se 07, Th.5] we get :

$$v_\ell(A_o) \leq \begin{cases} m+1 & \text{if } l=2, \\ m & \text{if } l>2 \text{ and } t=1 \text{ or } 2, \\ 0 & \text{if } t>2. \end{cases}$$

Let  $B$  be the kernel of  $A \rightarrow A_o$ . The group  $B$  is a subgroup of the group of automorphisms of the generic fiber of  $f$ . This fiber is a genus 0 curve over the function field  $k_C$  of  $C$ . Since  $k_C$  is a regular extension of  $k$ , the  $t$  and  $m$  invariants of  $k_C$  are the same as those of  $k$ . We then get for  $v_\ell(B)$  the same bounds as for  $v_\ell(A_o)$ , and by adding up this gives :

$$v_\ell(A) \leq \begin{cases} 2m+2 & \text{if } \ell = 2 \\ 2m & \text{if } \ell > 2 \text{ and } t = 1 \text{ or } 2 \\ 0 & \text{if } t > 2. \end{cases}$$

In each case, this gives a bound which is at most equal to the number  $M(k, \ell)$  defined in §2.1.

#### 4.4 The del Pezzo case : degree 9

Here  $S$  is  $\bar{k}$ -isomorphic to the projective plane  $\mathbf{P}_2$ ; in other words,  $S$  is a Severi-Brauer variety of dimension 2. The group  $\text{Aut } S$  is an inner  $k$ -form of  $\mathbf{PGL}_3$ . By using [Se 07, §6.2] one finds :

$$v_\ell(A) \leq \begin{cases} 2m+1 & \text{if } \ell = 2 \\ 2m+1 & \text{if } \ell = 3, t = 1 \\ \leq m+1 & \text{if } \ell = 3, t = 2 \\ \leq 2m & \text{if } \ell > 3, t = 1 \\ \leq m & \text{if } \ell > 3, t = 2 \text{ or } 3 \\ = 0 & \text{if } t > 3. \end{cases}$$

Here again, these bounds are  $\leq M(k, \ell)$ .

#### 4.5 The del Pezzo case : degree 8

This case splits into two subcases :

a)  $S$  is the blow up of  $\mathbf{P}_2$  at one rational point. In that case  $A$  acts faithfully on  $\mathbf{P}_2$  and we apply 4.4.

b)  $S$  is a smooth quadric of  $\mathbf{P}_3$ . The connected component  $\text{Aut}^o(S)$  of  $\text{Aut}(S)$  has index 2. It is a  $k$ -form of  $\mathbf{PGL}_2 \times \mathbf{PGL}_2$ . If we denote by  $A_o$  the intersection of  $A$  with  $\text{Aut}^o(S)$ , we obtain, by [Se 07, Th.5], the bounds :

$$v_\ell(A_o) \leq \begin{cases} 2m+2 & \text{if } \ell = 2 \\ 2m & \text{if } \ell > 2 \text{ and } t = 1 \text{ or } 2 \\ m & \text{if } t = 3, 4 \text{ or } 6 \\ 0 & \text{if } t = 5 \text{ or } t > 6. \end{cases}$$

Since  $v_\ell(A) = v_\ell(A_o)$  if  $\ell > 2$  and  $v_\ell(A) \leq v_\ell(A_o) + 1$  if  $\ell = 2$ , we obtain a bound for  $v_\ell(A)$  which is  $\leq M(k, \ell)$ .

*Remarks.* 1) Note the case  $\ell = 2$ , where the  $M(k, \ell)$  bound  $2m + 3$  can be attained.

2) In the case  $t = 6$ , the bound  $v_\ell(A_o) \leq m$  given above can be replaced by  $v_\ell(A_o) = 0$ , but this is not important for what we are doing here.

#### 4.6 The del Pezzo case : degree 7

This is a trivial case; there are 3 exceptional curves on  $S$  (over  $\bar{k}$ ), and only one of them meets the other two. It is thus stable under  $A$ , and by blowing it down, one is reduced to the degree 8 case. [This case does not occur if one insists, as in [DI 08], that the rank of  $\text{Pic}(S)^A$  be equal to 1.]

#### 4.7 The del Pezzo case : degree 6

Here the surface  $S$  has 6 exceptional curves (over  $\bar{k}$ ), and the corresponding graph  $L$  is an hexagon. There is a natural homomorphism

$$g : \text{Aut}(S) \rightarrow \text{Aut}(L)$$

and its kernel  $T$  is a 2-dimensional torus. Put  $A_o = A \cap T(k)$ . The index of  $A_o$  in  $A$  is a divisor of 12. By [Se 07, Th.4], we have

$$v_\ell(A_o) \leq \begin{cases} 2m & \text{if } t = 1 \text{ or } 2 & \text{(i.e. if } \varphi(t) = 1) \\ m & \text{if } t = 3, 4 \text{ or } 6 & \text{(i.e. if } \varphi(t) = 2) \\ 0 & \text{if } t = 5 \text{ or } t > 6. \end{cases}$$

Hence :

$$v_\ell(A) \leq \begin{cases} 2m + 2 & \text{if } \ell = 2 \\ 2m + 1 & \text{if } \ell = 3 \\ 2m & \text{if } \ell > 3 \text{ and } t = 1 \text{ or } 2 \\ m & \text{if } t = 3, 4 \text{ or } 6 \\ 0 & \text{if } t = 5 \text{ or } t > 6. \end{cases}$$

These bounds are  $\leq M(k, \ell)$ .

*Remarks.* 1) Note the case  $t = 6$ , where the bound  $m$  can actually be attained.

2) In the case  $t = 4$ , the bound  $v_\ell(A) \leq m$  given above can be replaced by  $v_\ell(A) = 0$ .

#### 4.8 The del Pezzo case : degree 5

As above, let  $L$  be the graph of the exceptional curves of  $S$ . Since  $\deg(S) \leq 5$ , the natural map  $\text{Aut}(S) \rightarrow \text{Aut}(L)$  is injective. We can thus identify  $A$  with its image  $A_L$  in  $\text{Aut}(L)$ . In the case  $\deg(S) = 5$ ,  $\text{Aut}(L)$  is isomorphic to the symmetric group  $S_5$ . In particular we have

$$v_\ell(A) \leq \begin{cases} 3 & \text{if } \ell = 2 \\ 1 & \text{if } \ell = 3 \text{ or } 5 \\ 0 & \text{if } \ell > 5, \end{cases}$$

and we conclude as before.

#### 4.9 The del Pezzo case : degree 4

This case is similar to the preceding one. Here  $\text{Aut}(L)$  is isomorphic to the group  $2^4.S_5 = \text{Weyl}(D_5)$ ; its order is  $2^7.3.5$ . We get the same bounds as above, except for  $\ell = 2$  where we find  $v_\ell(A) \leq 7$ , which is  $\leq M(k, 2)$  [recall that  $M(k, 2) = 2m + 3$  and that  $m \geq 2$  for  $\ell = 2$ ].

#### 4.10 The del Pezzo case : degree 3

Here  $S$  is a smooth cubic surface, and  $A$  embeds in  $\text{Weyl}(E_6)$ , a group of order  $2^7.3^4.5$ . This gives a bound for  $v_\ell(A)$  which gives what we want, except when  $\ell = 3$ . In the case  $\ell = 3$ , it gives  $v_\ell(A) \leq 4$ , but *Th.2.1* claims  $v_\ell(A) \leq 3$  unless  $k$  contains a primitive cubic root of unity. We thus have to prove the following lemma :

**Lemma 4.2** - *Assume that  $|A| = 3^4$ , that  $A$  acts faithfully on a smooth cubic surface  $S$  over  $k$ , and that  $\text{char}(k) \neq 3$ . Then  $k$  contains a primitive cubic root of unity.*

*Proof.* The structure of  $A$  is known since  $A$  is isomorphic to a 3-Sylow subgroup of  $\text{Weyl}(E_6)$ . In particular the center  $Z(A)$  of  $A$  is cyclic of order 3 and is contained in the commutator group  $\text{de } A$ . Since  $A$  acts on  $S$ , it acts on the sections of the anticanonical sheaf of  $S$ ; we get in this way a faithful linear representation  $r : A \rightarrow \mathbf{GL}_4(k)$ . Over  $\bar{k}$ ,  $r$  splits as  $r = r_1 + r_3$  where  $r_1$  is 1-dimensional and  $r_3$  is irreducible and 3-dimensional. If  $z$  is a non trivial element of  $Z(A)$ , the eigenvalues of  $z$  are  $\{1, r, r, r\}$  where  $r$  is a primitive third root of unity. This shows that  $r$  belongs to  $k$ .

#### 4.11 The del Pezzo case : degree 2

Here  $A$  embeds in  $\text{Weyl}(E_7)$ , a group of order  $2^{10}.3^4.5.7$ . This gives a bound for  $v(A)$ , but this bound is not good enough. However, the surface  $S$  is a 2-sheeted covering of  $\mathbf{P}_2$  (the map  $S \rightarrow \mathbf{P}_2$  being the anticanonical map) and we get a homomorphism  $g : A \rightarrow \mathbf{PGL}_3(k)$  whose kernel has order 1 or 2. We then find the same bounds for  $v_\ell(A)$  as in §4.2, except that, for  $\ell = 2$ , the bound is  $2m + 2$  instead of  $2m + 1$ .

#### 4.12 The del Pezzo case : degree 1

We use the linear series  $| - 2K_S |$ . It gives a map  $g : S \rightarrow \mathbf{P}_3$  whose image is a quadratic cone  $Q$ , cf. e.g. [De 80, p.68]. This realizes  $S$  as a quadratic covering of  $Q$ . If  $B$  denotes the automorphism group of  $Q$  defined by  $A$ , we have  $v_\ell(A) = v_\ell(B)$  if  $\ell > 2$  and  $v_\ell(A) \leq v_\ell(B) + 1$  if  $\ell = 2$ . But  $B$  is isomorphic to a subgroup of  $k^* \times \text{Aut}(C)$ , where  $C$  is a curve of genus 0. This implies

$$v_\ell(B) \leq \begin{cases} m + m + 1 & \text{if } \ell = 2 \\ m + m & \text{if } t = 1 \\ 0 + m & \text{if } t = 2, l > 2 \\ 0 + 0 & \text{if } t > 2. \end{cases}$$

The corresponding bound for  $v_\ell(A)$  is  $\leq M(k, \ell)$ .

This concludes the proof of Th.4.1 and hence of Th.2.1.

## §5 Structure and conjugacy properties of $\ell$ -subgroups of $\text{Cr}(k)$

### 5.1 The $\ell$ -subgroups of $\text{Cr}(k)$

The main theorem (Th.2.1) only gives information on the order of an  $\ell$ -subgroup  $A$  of  $\text{Cr}(k)$ , assuming as usual that  $\ell \neq \text{char}(k)$ . As for the structure of  $A$ , we have :

**Theorem 5.1.** (i) *If  $\ell > 3$ ,  $A$  is abelian of rank  $\leq 2$  (i.e. can be generated by two elements).*

(ii). *If  $\ell = 3$  (resp.  $\ell = 2$ )  $A$  contains an abelian normal subgroup of rank  $\leq 2$  with index  $\leq 3$  (resp. with index  $\leq 8$ ).*

*Proof.* Most of this is a consequence of the results of [DI 07]; see also [Bl 06] and [Be07]. The only case which does not seem to be explicitly in [DI 07] is the case  $\ell = 2$ , when  $A$  is contained in  $\text{Aut}(S)$ , where  $S$  is a conic bundle. Suppose we are in that case and let  $f : S \rightarrow C$  and  $A_o, B$  be as in 4.3, so that we have an exact sequence  $1 \rightarrow B \rightarrow A \rightarrow A_o \rightarrow 1$ , with  $A_o \subset \text{Aut}(C)$ , and  $B \subset \text{Aut}(F)$  where  $F$  is the generic fiber of  $f$  (which is a genus zero curve over the function field  $k(C)$  of  $C$ ). We use the following lemma :

**Lemma 5.2.** *Let  $a \in A$  and  $b \in B$  be such that  $a$  normalizes the cyclic group  $\langle b \rangle$  generated by  $b$ . Then  $aba^{-1}$  is equal to  $b$  or to  $b^{-1}$ .*

*Proof of the lemma.* Let  $n$  be the order of  $b$ . If  $n = 1$  or  $2$ , there is nothing to prove. Assume  $n > 2$ . By extending scalars, we may also assume that  $k$  contains the primitive  $n$ -th roots of unity. Since  $b$  is an automorphism of  $F$  of order  $n$ , it fixes two rational points of  $F$  which one can distinguish by the eigenvalue of  $b$  on their tangent space : one of them gives a primitive  $n$ -th root of unity  $z$ , and the other one gives  $z' = z^{-1}$ . [Equivalently,  $b$  fixes two sections of  $f : S \rightarrow C$ .] The pair  $(z, z')$  is canonically associated with  $b$ . Hence the pair associated with  $aba^{-1}$  is also  $(z, z')$ . On the other hand, if  $aba^{-1} = b^i$  with  $i \in \mathbf{Z}/n\mathbf{Z}$ , then the pair associated to  $a^i$  is  $(z^i, z'^i)$ . This shows that  $z^i$  is equal to either  $z$  or  $z^{-1}$ , hence  $i \equiv 1$  or  $-1 \pmod{n}$ . The result follows.

*End of the proof of Theorem 5.1 in the case  $\ell = 2$ .* Since  $B$  is a finite 2-subgroup of a  $k(C)$ -form of  $\mathbf{PGL}_2$ , it is either cyclic or dihedral. In both cases, it contains a characteristic subgroup  $B_1$  of index 1 or 2 which is cyclic. Similarly,  $A$  has a cyclic subgroup  $A_1$  which is of index 1 or 2. Let  $a \in A$  be such that its image in  $A_o$  generates  $A_1$ . If  $b$  is a generator of  $B_1$ , Lemma 5.2 shows that  $a^2$  commutes with  $b$ . Let  $\langle b, a^2 \rangle$  be the abelian subgroup of  $A$  generated by  $b$  and  $a^2$ . It is normal in  $A$ , and the inclusions  $\langle b, a^2 \rangle \subset \langle b, a \rangle \subset B \cdot \langle a \rangle \subset A$  show that its index in  $A$  is at most 8.

*Remark.* Similar arguments can be applied to prove a Jordan-style result on the finite subgroups of  $\mathrm{Cr}(k)$ , namely :

**Theorem 5.3.** *There exists an integer  $J > 1$ , independent of the field  $k$ , such that every finite subgroup  $G$  of  $\mathrm{Cr}(k)$ , of order prime to  $\mathrm{char}(k)$ , contains an abelian normal subgroup  $A$  of rank  $\leq 2$ , whose index in  $G$  divides  $J$ .*

The proof follows the same pattern : the conic bundle case is handled via Lemma 5.2 and the del Pezzo case via the fact that  $G$  has a subgroup of bounded index which is contained in a reductive group of rank  $\leq 2$ , so that one can apply the usual form of Jordan's theorem to that group. As for the value of  $J$ , a crude computation shows that one can take  $J = 2^{10} \cdot 3^4 \cdot 5^2 \cdot 7$ ; the exponents of 2 and 3 can be somewhat lowered, but those of 5 and 7 cannot since  $\mathrm{Cr}(\mathbf{C})$  contains  $A_5 \times A_5$  and  $\mathbf{PSL}_2(\mathbf{F}_7)$ .

## 5.2 The cases $t = 3, 4, 6$

More precise results on the structure of  $A$  depend on the value of the invariant  $t = t(k, \ell)$ . Recall that  $t = 1, 2, 3, 4$  or  $6$  if  $A \neq 1$ , cf. Cor.2.2. We shall only consider the cases  $t = 3, 4$  or  $6$  which are the easiest. See [DI 08, §4] for a (more difficult) conjugation theorem which applies when  $t = 1$  or  $2$ . Recall (cf. §3.2) that  $A$  is said to be *toral* if there exists a 2-dimensional subtorus  $T$  of  $\mathrm{Cr}$  (in the sense of [De 70]) such that  $A$  is contained in  $T(k)$ . We have :

**Theorem 5.4.** *Assume that  $t = 3, 4$  or  $6$ . Then :*

- (a)  *$A$  is cyclic of order  $\ell^n$  with  $n \leq m$ .*
- (b)  *$A$  is toral, except possibly if  $|A| = 5$ .*
- (c) *If  $A'$  is a subgroup of  $\mathrm{Cr}(k)$  of the same order as  $A$ , then  $A'$  is conjugate to  $A$  in  $\mathrm{Cr}(k)$ , except possibly if  $|A| = 5$ .*

Note that the hypothesis  $t = 3, 4$  or  $6$  implies  $\ell \geq 5$ . Moreover, if  $\ell = 5$ , then  $t = 4$  and, if  $\ell = 7$ , then  $t = 3$  or  $6$ .

*Proof of (a) and (b).* We follow the same method as above, i.e. we view  $A$  as a subgroup of  $\mathrm{Aut}(S)$ , where  $S$  is either a conic bundle or a del Pezzo surface. The bounds given in §4.3 show that  $A = 1$  if  $S$  is a conic bundle (this is why this case is easier than the case  $t = 1$  or  $2$ ). Hence we may assume that  $S$  is a del Pezzo surface. Let  $d$  be its degree. We have an exact sequence :

$$1 \rightarrow G(k) \rightarrow \mathrm{Aut}(S) \rightarrow E \rightarrow 1,$$

where  $G = \text{Aut}(S)^\circ$  is a connected linear group of rank  $\leq 2$  and  $E$  is a subgroup of a Weyl group  $W$  depending on  $d$  (e.g.  $W = \text{Weyl}(E_8)$  if  $d = 1$ ).

Consider first the case  $\ell > 7$ . The order of  $W$  is not divisible by  $\ell$ ; hence  $A$  is contained in  $G(k)$ . Since  $A$  is commutative, there exists a maximal torus  $T$  of  $G$  such that  $A$  is contained in the normalizer  $N$  of  $T$ , cf. e.g. [Se 07, §3.3]; since  $\ell > 3$ , the order of  $N/T$  is prime to  $\ell$ , hence  $A$  is contained in  $T(k)$  and this implies  $\dim(T) \geq 2$  by [Se 07, §4.1]. This proves (b), and (a) follows from Lemma 5.5 below.

Suppose now that  $\ell = 5$  or  $7$ , and let  $n = v_\ell(A)$ . If  $n = 1$  and  $\ell = 5$ , there is nothing to prove. If  $n = 1$  and  $\ell = 7$ , then (a) is obvious and (b) is proved in [DI 08, prop.3] (indeed Dolgachev and Iskovskikh prove (b) when  $v_\ell(A) = 1$ , and they also prove (c) for  $\ell = 7$ ). We may thus assume that  $n > 1$ . If  $d \leq 5$ , then  $G = 1$  and  $A$  embeds in  $E$ ; but  $E$  does not contain any subgroup of order  $\ell^2$  (see the tables in [DI 07] and [Bl 06]); hence this case does not occur. If  $d > 5$ , then the order of  $E$  is prime to  $\ell$ , hence  $A$  is contained in  $G(k)$  and the proof above applies.

*Proof of (c).* By (b), we have  $A \subset T(k)$  and  $A' \subset T'(k)$  where  $T$  and  $T'$  are 2-dimensional subtori of  $\text{Cr}$ . By Lemma 5.5 below, these tori are isomorphic; by a standard argument (see e.g. [De 70, §6] this implies that  $T$  and  $T'$  are conjugate by an element of  $\text{Cr}(k)$ ; moreover  $A$  (resp.  $A'$ ) is the unique subgroup of order  $\ell^n$  of  $T(k)$  (resp. of  $T'(k)$ ). Hence  $A$  and  $A'$  are conjugate in  $\text{Cr}(k)$ .

*Remark.* The case  $|A| = 5$  is indeed exceptional : there are examples of such  $A$ 's which are not toral, cf. [Be 07], [Bl 06], [DI 07].

### 5.3 A uniqueness result for 2-dimensional tori

We keep the assumption that  $t = 3, 4$  or  $6$ . We have seen in §3.2.2 that there exists a 2-dimensional  $k$ -torus  $T$  such that  $T(k)$  contains an element of order  $\ell$ .

**Lemma 5.5.** (a) *Such a torus is unique, up to  $k$ -isomorphism.*

(b) *If  $n \leq m = m(k, \ell)$ , then  $T(k)[\ell^n]$  is cyclic of order  $\ell^n$ .*

*Proof of (a).* Let  $L = \text{Hom}_{k_s}(\mathbf{G}_m, T)$  be the group of cocharacters of  $T$ . It is a free  $\mathbf{Z}$ -module of rank 2, with an action of  $\Gamma_k = \text{Gal}(k_s/k)$ . If we identify  $L$  with  $\mathbf{Z}^2$ , this action gives a homomorphism  $r : \Gamma_k \rightarrow \mathbf{GL}_2(\mathbf{Z})$  which is well defined up to conjugation. Let  $G$  be the image of  $r$ . Since  $G$  is a finite subgroup of  $\mathbf{GL}_2(\mathbf{Z})$ , its order divides 24, and hence is prime to  $\ell$ .

The  $\Gamma_k$ -module  $T(k_s)[\ell]$  of the  $\ell$ -division points of  $T(k_s)$  is canonically isomorphic to  $L/\ell L \otimes \mu_\ell$ , where  $\mu_\ell$  is the group of  $\ell$ -th roots of unity in  $k_s$ . This means that  $L/\ell L$  contains a rank-1 submodule  $I$  which is isomorphic to the dual  $\mu_\ell^*$  of  $\mu_\ell$ . The action of  $G$  on  $L/\ell L$  is semisimple since  $|G|$  is prime to  $\ell$ . Hence there exists a rank-1 submodule  $J$  of  $L/\ell L$  such that  $L/\ell L = I \oplus J$ . By a well-known lemma of Minkowski (see e.g. [Se 07, Lemma 1]), the action of  $G$  on  $L/\ell L$  is faithful. This shows that  $G$  is commutative. Moreover, the character giving the action of  $\Gamma_k$  on  $I$  has an image which is cyclic of order  $t$ . Since  $t = 3, 4$

or 6, this shows that  $G$  contains an element of order 3 or 4. One checks that these properties imply  $G \subset \mathbf{SL}_2(\mathbf{Z})$  i.e.  $\det(r) = 1$ , hence the  $\Gamma_k$ -modules  $I$  and  $J$  are dual of each other, i.e.  $J \simeq \mu_\ell$ . We thus have  $L/\ell L \simeq \mu_\ell \oplus \mu_\ell^*$ . We may then identify  $r$  with the homomorphism  $\Gamma_k \rightarrow C_t \rightarrow \mathbf{GL}_2(\mathbf{Z})$ , where  $C_t$  is the Galois group of  $k(\mu_\ell/k)$  and  $C_t \rightarrow \mathbf{GL}_2(\mathbf{Z})$  is an inclusion. Since any two such inclusions only differ by an inner automorphism of  $\mathbf{GL}_2(\mathbf{Z})$ , this shows that the  $\Gamma_k$ -module  $L$  is unique, up to isomorphism; hence the same is true for  $T$ .

*Proof of (b).* Assertion (b) follows from the description of  $T$  given in §3.2.2. It can also be checked by writing explicitly the  $\Gamma_k$ -module  $L/\ell^n L$ ; when  $n \leq m$  this module is isomorphic to the direct sum of  $\mu_{\ell^n}$  and its dual.

*Remarks.*

1). If  $n > m$  we have  $T(k)[\ell^n] = T(k)[\ell^m]$ . This can be seen, either by a direct computation of  $\ell$ -adic representations, or by looking at §3.2.2

2) When  $t = 1$  or  $2$ , it is natural to ask for a 2-dimensional torus  $T$  such that  $T(k)$  contains  $\mathbf{Z}/\ell\mathbf{Z} \oplus \mathbf{Z}/\ell\mathbf{Z}$ . Such a torus exists, as we have seen in §3.2. If  $\ell > 2$ , it is unique, up to isomorphism. There is a similar result for  $\ell = 2$ , if one asks not merely that  $T(k)$  contains  $\mathbf{Z}/2\mathbf{Z} \times \mathbf{Z}/2\mathbf{Z}$  but that it contains  $\mathbf{Z}/4\mathbf{Z} \times \mathbf{Z}/4\mathbf{Z}$ .

## §6 The Cremona groups of rank $> 2$

For any  $r > 0$  the Cremona group  $\text{Cr}_r(k)$  of rank  $r$  is defined as the group  $\text{Aut}_k k(T_1, \dots, T_r)$  where  $(T_1, \dots, T_r)$  are  $r$  indeterminates. When  $r > 2$  not much seems to be known on the finite subgroups of  $\text{Cr}_r(k)$ , even in the classical case  $k = \mathbf{C}$ . For instance :

6.0. *Does there exist a finite group which is not embeddable in  $\text{Cr}_3(\mathbf{C})$ ?*

This looks very likely, but I do not see how to prove it. Still, it is natural to ask for much more, e.g. :

6.1 (Jordan bound, cf. Th.5.5). *Does there exist an integer  $N(r) > 0$ , depending only on  $r$ , such that, for every finite subgroup  $G$  of  $\text{Cr}_r(k)$  of order prime to  $\text{char}(k)$ , there exists an abelian normal subgroup  $A$  of  $G$ , of rank  $\leq r$ , whose index divides  $N(r)$ ?*

Note that this would imply that, for  $\ell$  large enough (depending on  $r$ ), every finite  $\ell$ -subgroup of  $\text{Cr}_r(k)$  is abelian of rank  $\leq r$ .

6.2 (cf. [Se 07, §6.9]). *Is it true that  $r \geq \varphi(t)$  if  $\text{Cr}_r(k)$  contains an element of order  $\ell$ ?*

6.3. *Let  $G \subset \text{Cr}_r(k)$  be as in 6.1, and assume that  $k$  is small (cf. §2.3). Is it true that  $|G|$  is bounded by a constant depending only on  $r$  and  $k$ ?*

If the answer to 6.3 is “yes” we may define  $M_r(k)$  as the l.c.m. of all such  $|G|$ 's, and ask for an estimate of  $M_r(k)$ . For instance, in the case  $r = 3$  :

6.4. *Is it true that  $M_3(k)$  is equal to  $M_1(k).M_2(k)$ ?*

If  $k$  is finite with  $q$  elements, this means (cf. §2.5) :

6.5. *Is it true that*

$$M_3(k) = \begin{cases} 3.(q^2 - 1)(q^4 - 1)(q^6 - 1) & \text{if } q \equiv 4 \text{ or } 7 \pmod{9} \\ (q^2 - 1)(q^4 - 1)(q^6 - 1) & \text{otherwise ?} \end{cases}$$

For larger  $r$ 's the polynomial  $(X^2 - 1)(X^4 - 1)(X^6 - 1)$  of 6.5 should be replaced by the polynomial  $P_r(X)$  defined by the formula

$$P_r(X) = \prod_d \Phi_d(X)^{\lfloor r/\varphi(d) \rfloor},$$

where  $\Phi_d(X)$  is the  $d$ -th cyclotomic polynomial ( $\Phi_1(X) = X - 1$ ,  $\Phi_2(X) = X + 1$ ,  $\Phi_3(X) = X^2 + X + 1$ ,  $\Phi_4(X) = X^2 + 1, \dots$ ).

*Examples.*  $P_4(X) = (X^6 - 1)(X^8 - 1)(X^{10} - 1)(X^{12} - 1)$ ;  $P_5(X) = (X^2 - 1)P_4(X)$ .

With this notation, the natural question to ask seems to be :

6.6. *Is it true that there exists an integer  $c(r) > 0$  such that  $M_r(\mathbf{F}_q)$  divides  $c(r).P_r(q)$  for every  $q$  ?*

Unfortunately, I do not see any way to attack these questions; the method used for rank 2 is based on the very explicit knowledge of the “minimal models”, and this is not available for higher ranks. Other methods are needed.

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