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# Rank one groups and trees

Pierre-Emmanuel Caprace



A large, leafless tree stands in the center of a snowy landscape. The tree's branches are intricate and dark against a pale, overcast sky. In the background, a body of water is visible, surrounded by a line of trees and a distant shoreline. The ground is covered in a layer of snow, and some smaller, bare bushes are visible in the foreground.

# Rank one groups and trees

Pierre-Emmanuel Caprace

Tom De Medts

Yves de Cornulier - Nicolas Monod - Romain Tessera



Bernd Fischer

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**CFSG**

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*Classification of the **Fischer Simple Groups***

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**Beyond?**

# **Compactly generated simple locally compact groups**



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- Connected simple Lie groups



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*Non-discrete topology*

*⇒ algebraic restrictions*



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# **Non-discrete Compactly generated simple locally compact groups**

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*linear*

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*Non-Lie type simple groups are not sporadic!*

## **Problem**

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- Useful for discrete groups
- **Open in characteristic  $>0$**
- Unified solution in all characteristics?

**Theorem** (Tits 1974; Tits-Weiss 2002)

*Let  $G$  be a simple group.*

*If  $G$  has an irreducible split spherical BN-pair of rank  $\geq 2$ , then  $G$  is linear (possibly over a skew-field).*

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- **Rank one case?**
- More natural conditions in the l.c. context?

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The **parabolic group** associated with  $\alpha$  is

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# Examples

- $G = \mathbf{R}^n \rtimes \mathbf{R}$  with  $\mathbf{R}$ -action by homotheties

$$0 \neq \alpha \in \mathbf{R}$$

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- $G = \mathrm{SL}_2(\mathbf{R})$

$$\alpha = \begin{pmatrix} 2 & 0 \\ 0 & 1/2 \end{pmatrix}$$

$$U_\alpha = \left\{ \begin{pmatrix} 1 & 0 \\ x & 1 \end{pmatrix} \mid x \in \mathbf{R} \right\}$$

$$P_\alpha = \left\{ \begin{pmatrix} s & 0 \\ x & s^{-1} \end{pmatrix} \mid s, x \in \mathbf{R} \right\}$$

$F$  = non-trivial finite group

$$G = \prod_{\mathbf{Z}} F \rtimes_{\alpha} \mathbf{Z}$$

$\alpha$  = positive shift

$$U_{\alpha} = \left( \bigoplus_{n < 0} F \right) \oplus \left( \prod_{n \geq 0} F \right)$$

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However, all contraction subgroups  $U_\alpha < G$  are closed when  $G$  is:

- a Lie group [Hazod-Siebert, 1986]
- a  $p$ -adic analytic group [Wang, 1988]

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Relationship between closedness of contraction groups and smoothness/linearity?

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$$U_\alpha = \left( \bigoplus_{n < 0} F \right) \oplus \left( \prod_{n \geq 0} F \right)$$

$$\overline{U_\alpha} = \prod_{\mathbf{Z}} F$$

## **Theorem I** (C.-De Medts 2011)

*Let  $G$  be a non-compact unimodular l.c. group without non-trivial compact normal subgroup (eg.  $G$  simple).*

*Assume there is  $\alpha \in G$  such that*

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- Characteristic-free
- Crucial step in the proof:  $U_\alpha$  is closed

## **Theorem II** (C.-De Medts 2011)

*Let  $G$  be a non-compact unimodular l.c. group without non-trivial compact normal subgroup (eg.  $G$  simple).*

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*Then there is a l.c. field  $k$  of char 0 and a semisimple algebraic  $k$ -group  $\mathbf{G}$  of  $k$ -rank one such that  $\mathbf{G}(k) \leq G$  with finite index.*

# Digression

**Question** (Milnor 1976)

*Which connected Lie groups admit an invariant Riemannian metric of negative sectional curvature?*



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- Negatively curved  $\Rightarrow$  Gromov hyperbolic

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**Proof.**

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*If in addition  $G$  is unimodular, then modulo a compact normal subgroup, either:*

- *$G$  is a rank one simple Lie group, or*
- *$G \leq \text{Aut}(T)$  and is 2-transitive on  $\partial T$  for some tree  $T$ .*

# Back to Theorem I

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*Let  $G \leq \text{Aut}(T)$  closed non-compact act*

*(i) 2-transitively on  $\partial T$ ,*

*(ii)  $\curvearrowright$  with metabelian stabilisers.*

*Then  $\text{PSL}_2(k) \leq G \leq \text{PGL}_2(k)$  and  $\partial T \cong \mathbf{P}^1(k)$   
 $\curvearrowright$  with  $k$  locally compact field.*

# Projective permutation groups

**Theorem** (Tits, 1949)

*Let  $G < \text{Sym}(\Omega)$  be 3-transitive group.*

*If  $G_{x,y}$  is abelian for  $x \neq y \in \Omega$ , then  $G = \text{PGL}_2(k)$  for some field  $k$ .*

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$$\text{PGL}_2(k)/\text{PSL}_2(k) \cong k/k^2$$

# Moufang sets

**Definition** (Tits, 1992; Timmesfeld, 1999)

A set  $\Omega$  with a 2-transitive group  $G < \text{Sym}(\Omega)$  is

called a **Moufang set** if for some (hence all)

$\xi \in \Omega$ , the stabiliser  $G_\xi$  has a normal subgroup  $U_\xi$ ,

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**Examples:**

- $G$  sharply 2-transitive on  $\Omega$
- $G = \text{PSL}_2(k)$  acting on  $\Omega = \mathbf{P}^1(k)$
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### **Hazardous conjecture** (Tits, 2000)

*All Moufang sets are of « algebraic origin », ie. remotely related to the examples on that list.*



**Theorem** (De Medts-Weiss; Grüninger; Segev)

*Let  $\Omega$ ,  $G \leq \text{Sym}(\Omega)$  be a proper Moufang set.*

*Assume that  $U_x$  and  $G_{x,y}$  are both abelian for  $x, y \in \Omega$ .*

*Then there is a field  $k$  and:*

*either  $\Omega = \mathbf{P}^1(k)$  and  $\text{PSL}_2(k) \leq G \leq \text{PGL}_2(k)$ ,*

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- Conjecturally: abelian root groups  $\Rightarrow$  quadratic Jordan division algebras

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## **Proposition**

*Let  $G \leq \text{Aut}(T)$  closed non-compact such that*

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*Moreover the system of root groups is unique.*

*If the contraction group  $U_\alpha$  is abelian, then it is closed.*

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- Exceptional case in char 2 does not occur over local fields.

# Back to Theorem II

*Let  $G$  be a non-compact unimodular l.c. group without non-trivial compact normal subgroup (eg.  $G$  simple).*

*Assume there is  $\alpha \in G$  such that*

*(i)  $G/\overline{\langle \alpha U_\alpha \rangle}$  is compact*

*(ii)  $U_\alpha$  is closed and torsion-free.*

*Then there is a l.c. field  $k$  of char 0 and a semisimple algebraic  $k$ -group  $\mathbf{G}$  of  $k$ -rank one such that  $\mathbf{G}(k) \leq G$  with finite index.*

# Back to Theorem II

Enough to prove:

*Let  $G \leq \text{Aut}(T)$  closed non-compact such that  $(G, \partial T)$  is a Moufang set with closed torsion-free root groups.*

*Then there is a l.c. field  $k$  of char 0 and a semisimple algebraic  $k$ -group  $\mathbf{G}$  of  $k$ -rank one such that  $\mathbf{G}(k) \leq G$  with finite index.*

- Assume that the root group  $U_\xi$  is closed and torsion-free. Then by [Glöckner-Willis] we have

$$U_\xi \cong N_1 \times N_2 \times \dots \times N_t,$$

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- **Strategy:** *show that  $N_i$  is a root subgroup.*



# Sub-Moufang sets

- Given a compact subgroup  $K \leq G_{\xi, \eta}$ , the centraliser  $Z_G(K)$  turns the fixed point set  $\partial T^K$  into a sub-Moufang set.

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- Useful provided one can find  $K$  non-trivial.

# Digression

**Theorem** (Kegel-Wielandt 1958)

*Let  $G$  be a finite group.*

*If  $G = A.B$  with  $A, B \leq G$  nilpotent, then  $G$  is soluble.*

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However  $G_v$  cannot be soluble.

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- True if  $A, B$  have coprime order [Hall-Higman 1956]
- Reduces to the case of  $p$ -groups [Pennington 1973]
- The derived length of  $G$  can be greater than the sum of the nilpotency classes of  $A$  and  $B$  [Cossey-Stonehewer 1998]



