

Corollary (characterisation of algebraic spaces)

let  $F: (\text{Schemes})^{\circ} \rightarrow (\text{Sets})$  be a functor.

Then:

$F$  is an algebraic stack  $\Leftrightarrow F$  is an algebraic space

Proof:  $\Leftarrow$  trivial

$\Rightarrow$  trivial if  $F$  is a Deligne-Mumford stack.

In general, if  $F$  is an algebraic stack and a presheaf, the diagonal  $\Delta_F$  is a monomorphism, hence unramified. By the preceding theorem,  $F$  is a D-M stack, so we are done  $\blacksquare$

Corollary.

$\mathcal{M}_{g,m}$  is a D-M. stack  $\Leftrightarrow 2g-2+m > 0$ .

$\Leftrightarrow (g,m) \notin \{(0,0), (0,1), (0,2), (1,0)\}$

$\mathcal{M}_{g,m}$  is an algebraic space  $\Leftrightarrow m > 2g+2$ .  $\blacksquare$

Remark: To prove that  $\mathcal{M}_{g,0}$  is a Deligne-Numford stack for  $g \geq 2$ , one can use the moduli scheme  $\mathcal{M}_{g,0}^{(n)}$  of curves with level- $n$  structure over  $\mathbb{Z}[1/n]$  ( $n \geq 3$ ):

$$U \longmapsto \left. \begin{array}{l} \text{curves } p: X \rightarrow U + \\ \text{isomorphism } (\mathbb{Z}/n\mathbb{Z})_U^{2g} \xrightarrow{\sim} R^1 p_* (\mathbb{Z}/n\mathbb{Z}) \end{array} \right\}$$

This approach is less elementary, but gives a bonus: the natural morphism

$$\mathcal{M}_{g,0}^{(n)} \longrightarrow \mathcal{M}_{g,0} |_{\text{Spec } \mathbb{Z}[1/n]}$$

is finite étale.

# QUOTIENT STACKS

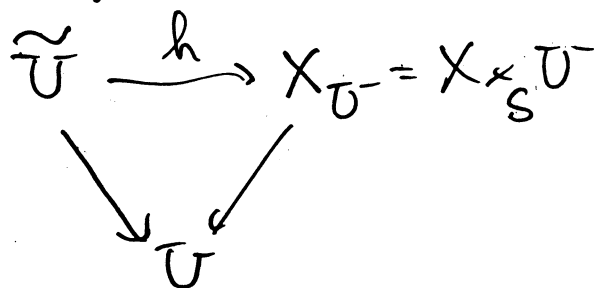
Assume:  $S$  is a scheme,

$X \rightarrow S$  an algebraic space

$G \rightarrow S$  a sheaf of groups which is a smooth, separated,  $S$ -algebraic space of finite type acting on  $X$

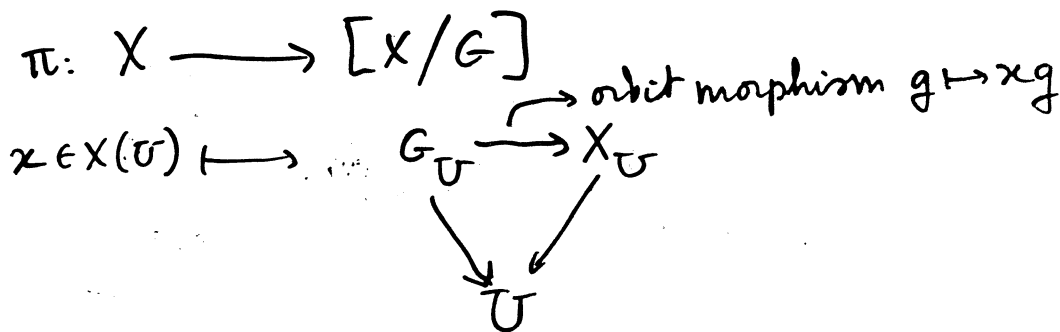
We define the quotient stack  $\mathcal{M} = [X/G]$ , over  $S$ , as follows:

( $S$ -scheme  $U$ )  $\mapsto \mathcal{M}(U) =$  category of commutative diagrams



where  $\left\{ \begin{array}{l} \tilde{U} \rightarrow U \text{ is a } G\text{-torsor over } U \\ h: \tilde{U} \rightarrow X_U \text{ is } G\text{-equivariant.} \end{array} \right.$

• There is a natural morphism



Now for any object  $\tilde{U} \xrightarrow{h} X_U$  defining a point

$$\begin{array}{ccc} \tilde{U} & \xrightarrow{h} & X_U \\ & \searrow & \swarrow \\ & U & \end{array}$$

$\xi: U \rightarrow [X/G]$ , the diagram

$$\begin{array}{ccc} \tilde{U} & \xrightarrow{h} & X \\ \downarrow & & \downarrow \pi \\ U & \xrightarrow{\xi} & [X/G] \end{array}$$

is Cartesian! In particular,  $\pi$  is surjective and smooth, and is a  $G$ -torsor in a natural sense (even if the action of  $G$  is not free!)

Special case:  $X = S$  with trivial action of  $G$ .

The resulting stack is just:

$$(S\text{-scheme } U) \mapsto (\text{cat. of } G_U\text{-torsors})$$

This is the classifying stack of  $G$ , also denoted by  $BG$ .

Note that there is a structural morphism

$$p: BG \rightarrow S$$

which is not representable unless  $G$  is trivial.

On the other hand, this morphism has a section

$$s: S \rightarrow BG$$

corresponding to the trivial torsor  $G \rightarrow S$ . This section is representable and is in fact the universal  $G$ -torsor over  $BG$ .

Remark: we have seen some instances of  $BG$  before:

$$BUN_n \cong B(GL_n)$$

$$\mathcal{M}_{0,0} \cong B(PGL_2)$$

$$\mathcal{M}_{0,1} \cong B\Gamma \quad (\Gamma = \text{affine transformations of } \mathbb{A}^1)$$

$$\mathcal{M}_{0,2} \cong BG_m \cong BUN_1$$

For  $g \geq 2$ , we have seen two ways of viewing  $\mathcal{M}_{g,0}$  as a quotient:

$$\begin{array}{ccc}
 \textcircled{1} \left\{ \begin{array}{l} \text{curves } X \xrightarrow{P} U \\ + \text{ basis of } P_* \omega^{\otimes 3} \end{array} \right\} & \longrightarrow & \mathcal{M}_{g,0} \\
 & & \text{SI} \\
 \text{Scheme } \widetilde{\mathcal{M}}_{g,0} + & & [\widetilde{\mathcal{M}}_{g,0} / GL_{5g-5}] \\
 \text{action of } GL_{5g-5} & & 
 \end{array}$$

$$\begin{array}{ccc}
 \textcircled{2} \mathcal{M}_{g,0}^{(n)} & \longrightarrow & \mathcal{M}_{g,0} \mid \text{Spec } \mathbb{Z}[1/n] \\
 \text{(level } n \text{ structure, } & & \text{SI} \\
 n \geq 3, \text{ over } \mathbb{Z}[1/n]) & & [\mathcal{M}_{g,0}^{(n)} / GL_{2g}(\mathbb{Z}/n\mathbb{Z})]
 \end{array}$$

The first morphism is a GL-torsor, hence smooth with geometrically connected fibres. Moreover, for any field (or semilocal ring)  $k$ ,

$$\tilde{M}_{g,0}(k) \rightarrow M_{g,0}(k) \text{ is surjective on objects.}$$

The second morphism is finite étale but

- only over  $\mathbb{Z}[1/n]$ .
- need a finite extension to lift points of  $M_{g,0}(k)$ .
- $M_{g,0,\mathbb{C}}$  is connected but  $M_{g,0,\mathbb{C}}^{(n)}$  is not.

# GROUPOID SPACES

(42)

(generalisation of equivalence relations)

Definition A groupoid presheaf on a category  $C$  is a set of data:

- two functors  $X_2, X_0 : C^0 \rightarrow (\text{Sets})$

( $X_0 =$  "objects",  $X_2 =$  "morphisms")

- morphisms

$s, t : X_2 \rightrightarrows X_0$  (source + target)

"identities":  $X_0 \rightarrow X_2$

"composition":  $X_2 \times_{s, X_0, t} X_2 \rightarrow X_2$

"inverse":  $X_2 \rightarrow X_2$

which, for every  $U \in \text{ob } C$ , defines a groupoid  $X_*(U)$   
(whence a fibered groupoid  $U \mapsto X_*(U)$ )

A groupoid space over  $C = (\text{schemes})$  is a groupoid presheaf  $X_*$  where  $X_2, X_0$  are algebraic spaces.

EXAMPLE: if  $\mathcal{M}$  is an algebraic stack,  $X$  an algebraic space and  $\mathcal{P} : X \rightarrow \mathcal{M}$  a 1-morphism, there is a natural groupoid space

$$X_* = (X_2 = X \times_{\mathcal{M}} X \rightrightarrows X_0 = X)$$

If  $\mathcal{P}$  is smooth and surjective, then  $\mathcal{M}$  is the étale stack associated to the fibered groupoid  $X_*$ .

Conversely, given a groupoid space

$$X_0 = X_1 \begin{matrix} \xrightarrow{P_1} \\ \xrightarrow{P_2} \end{matrix} X_0$$

then the stack associated to  $X_0$  is algebraic, provided:

- $P_1, P_2 : X_1 \rightarrow X_0$  are smooth
- $(P_1, P_2) : X_1 \rightarrow X_0 \times X_0$  is quasicompact and separated.

Moreover, the natural morphism  $X_0 \rightarrow \mathcal{M}$  is smooth and surjective.

Example:  $[X/G]$  can be obtained from:

$$X_0 = X, \quad X_1 = X \times G$$

$$P_1(x, g) = x$$

$$P_2(x, g) = xg$$

$$\text{composition: } ((x_1, g_1), (x_1 g_1, g_2)) \mapsto (x_1, g_2 g_1)$$

$$\text{identities: } x \mapsto (x, e)$$

$$\text{inverse: } (x, g) \mapsto (xg, g^{-1})$$



Questions about the definition:

- Why the étale topology? (in particular, the fppf topology is very useful)
- Why ask for a smooth  $Y \xrightarrow{\mathbb{P}} \mathcal{M}$  (and not just a flat one?)

Theorem (M. Artin)

- ① Every algebraic stack is a stack for the fppf topology (i.e. we have effective fppf descent)
- ② let  $\mathcal{M}$  be an fppf stack over (Schemes) such that:
  - $\Delta_{\mathcal{M}}: \mathcal{M} \rightarrow \mathcal{M} \times \mathcal{M}$  is representable, quasicompact, separated
  - there exists a scheme  $Y$  and a  $\mathbb{A}^1$ -morphism  $\mathbb{P}: Y \rightarrow \mathcal{M}$  which is faithfully flat, locally of finite presentation.

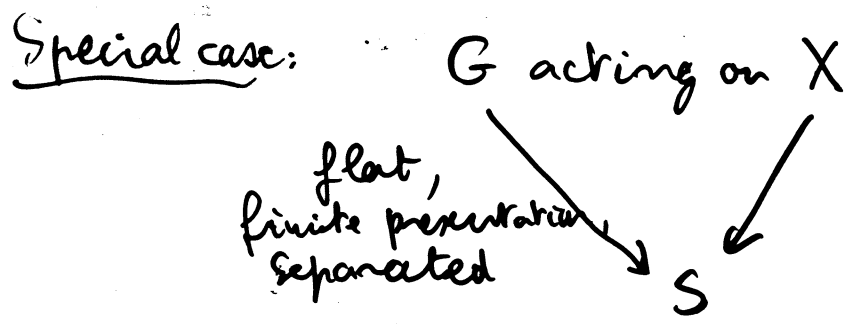
Then  $\mathcal{M}$  is an algebraic stack.

Example : more general quotients :

Let  $X_0 = X_1 \begin{matrix} \xrightarrow{P_1} \\ \xrightarrow{P_2} \end{matrix} X_0$  be a groupoid space  
with  $\{P_1, P_2\}$  flat of finite presentation

$(P_1, P_2) : X_1 \rightarrow X_0 \times X_0$  quasicompact, separated.

Then the associated fppf stack is algebraic.



then  $[X/G]$  is an algebraic stack

(in the "torsor" description, one must take fppf torsors !)

Some examples of "geometry on algebraic stacks":

Let  $\mathcal{P}$  be a property of schemes which is local in the étale sense, i.e.:

if  $X' \rightarrow X$  is étale surjective,

then  $X$  has  $\mathcal{P} \iff X'$  has  $\mathcal{P}$ .

Examples:

- locally Noetherian
- \_\_\_\_\_ and purely  $d$ -dimensional
- reduced
- normal
- regular
- (---)

Then  $\mathcal{P}$  carries over to Deligne-Mumford stacks (and algebraic spaces):

if  $\mathcal{M}$  is a D-M stack, choose

$$\Phi: \underset{\substack{\text{in} \\ \text{scheme}}}{Y} \rightarrow \mathcal{M} \text{ étale surjective}$$

and say that  $\mathcal{M}$  has  $\mathcal{P}$  iff  $Y$  does.

This is independent of the choice of  $\Phi$ :

for another  $\Phi': Y' \rightarrow \mathcal{M}$  étale surjective,  
consider

$$\begin{array}{ccc}
Y & \xrightarrow{\Phi} & \mathcal{M} \\
\uparrow g & \square & \uparrow \Phi' \\
Y \times_{\mathcal{M}} Y' =: Z & \xrightarrow{g'} & Y' \\
\uparrow \pi & \text{étale surjective (Z is an algebraic space)} & \\
Z_2 & \text{(scheme)} & 
\end{array}$$

Then:  $Y$  has  $\mathcal{P}$ ,  $g$  and  $\pi$  étale surjective

$$\begin{array}{c}
\Downarrow \\
Z_2 \text{ has } \mathcal{P} \\
\Downarrow \\
Y' \text{ has } \mathcal{P}.
\end{array}$$

Remark In this situation,  $Z$  is in fact a scheme:

for Deligne-Mumford stacks  $\mathcal{M}$ , the diagonal

$$\Delta_{\mathcal{M}} : \mathcal{M} \rightarrow \mathcal{M} \times \mathcal{M}$$

is representable in the scheme sense. This boils down to the fact that  $\Delta_{\mathcal{M}}$  is separated and quasi-finite (of finite type with finite fibres), hence quasi-affine.

If we try to do the same for Artin stacks, we must restrict to properties which are local in the smooth sense, i.e.:

if  $X' \rightarrow X$  is smooth and surjective, then  $X$  has  $\mathcal{P} \Leftrightarrow X'$  has  $\mathcal{P}$ .

Examples: all the above, except "purely  $d$ -dimensional".

Remark: one can define the dimension of an algebraic stack  $\mathcal{M}$  at a point  $\text{Spec}(k) \rightarrow \mathcal{M}$  ( $k$  a field). It may be negative.

For instance if  $G$  is an algebraic  $k$ -group, the dimension of  $BG = [\text{Spec}(k)/G]$  (at the obvious  $k$ -point) is  $-\dim(G)$ .

Definition. If  $\mathcal{M}$  is an algebraic stack, a locally closed (resp. open, closed) substack of  $\mathcal{M}$  is a 1-morphism  $\mathcal{Y} \rightarrow \mathcal{M}$  which is representable by immersions (resp. --)

A closed substack  $\mathcal{Y} \hookrightarrow \mathcal{M}$  has an obvious open complement  $\mathcal{U}$  defined by

$$\mathcal{U}(\mathcal{U}) = \left\{ x: \mathcal{U} \rightarrow \mathcal{M} \text{ in } \mathcal{M}(\mathcal{U}) \mid \mathcal{U}_{x, \mathcal{M}} \mathcal{Y} = \emptyset \right\}.$$

An opensubstack  $\mathcal{U} \hookrightarrow \mathcal{M}$  has a reduced closed complement  $\mathcal{Z}$  defined as follows: choose

$$\mathcal{P}: \mathcal{Y} \rightarrow \mathcal{M} \text{ smooth surjective,}$$

put  $\mathcal{Z} =$  reduced closed complement of  $\mathcal{Y}_{x, \mathcal{M}} \mathcal{U}$  in  $\mathcal{Y}$  and define

$$\mathcal{Z}(\mathcal{U}) = \left\{ x: \mathcal{U} \rightarrow \mathcal{M} \mid \mathcal{U}_{x, \mathcal{M}, \mathcal{P}} \mathcal{Y} \rightarrow \mathcal{Y} \text{ factors through } \mathcal{Z} \right\}.$$

This does not depend on  $\mathcal{P}$ , because taking the reduced closed complement commutes with smooth base change

Definition. An algebraic stack  $\mathcal{M}$  is separated if  $\Delta_{\mathcal{M}}: \mathcal{M} \rightarrow \mathcal{M} \times \mathcal{M}$  is proper.

Proposition (Valuative criterion)  $\mathcal{M}$  is separated if and only if:

For every valuation ring  $\Lambda$ , with field of fractions  $K$ , and all  $x, y \in \mathcal{M}(\Lambda)$ ,

every isomorphism  $x_K \xrightarrow{\sim} y_K$  in  $\mathcal{M}(K)$  extends (uniquely) to  $x \xrightarrow{\sim} y$  in  $\mathcal{M}(\Lambda)$ .

automatic since  $\Delta_{\mathcal{M}}$  is separated.

Remarks: . One has a notion of separated 1-morphism  $\mathcal{M} \rightarrow \mathcal{N}$  of algebraic stacks.

- . If  $\mathcal{M}$  is of finite type over a separated Noetherian scheme  $S$ , then one can restrict the valuative criterion to discrete valuation rings.
- . Many useful stacks (such as  $\text{BUN}_r$ ) are not separated!
- . If  $\mathcal{M}$  is a Deligne-Mumford stack, then:
 
$$\mathcal{M} \text{ separated} \Leftrightarrow \Delta_{\mathcal{M}} \text{ finite}$$

## PROPER STACKS:

We fix a Noetherian base scheme  $S$ .

Definition An algebraic stack  $\mathcal{M} \xrightarrow{f} S$  is proper (over  $S$ ) if:

- (1)  $\mathcal{M}$  is of finite type, separated over  $S$
- (2) For each valuation ring  $V$  over  $S$ , with fraction field  $K$ , and every object  $x: \text{Spec } K \rightarrow \mathcal{M}$  of  $\mathcal{M}(K)$ , there is a valuation ring  $V' \supset V$  dominating  $V$ , with fraction field  $K' \supset K$ , and an object of  $\mathcal{M}(V')$  extending  $x_{K'}$ .

Example: let  $G$  be a finite group, and consider  $\mathcal{M} = \mathcal{B}G$  (over  $S$ ) =  $[S/G]$  (trivial action):

$$\begin{array}{c} \mathcal{M} = [S/G] \\ \text{(trivial tor)} \nearrow s \left( \begin{array}{c} \downarrow f \\ S \end{array} \right. \end{array}$$

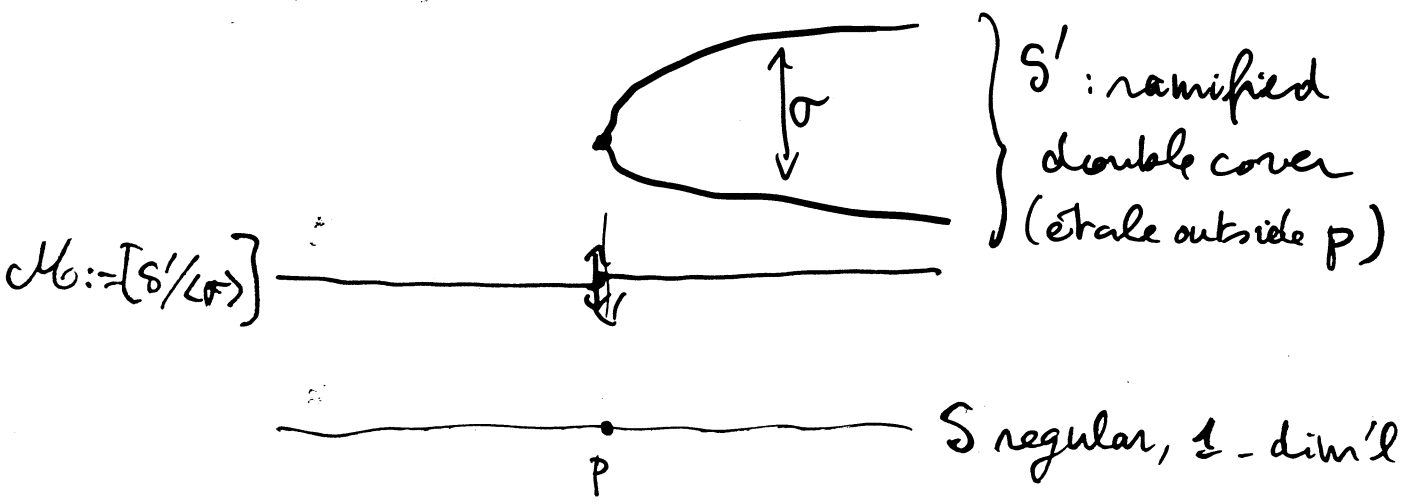
- $\mathcal{M}$  is a separated D.M. stack (the diagonal is finite étale).
- Since  $s: S \rightarrow \mathcal{M}$  is finite étale surjective, and  $S$  is proper over  $S$  (!),  $\mathcal{M}$  is of finite type, and we expect it to be proper.



Now, if, say,  $V$  is a discrete valuation ring, and  $L$  a ramified Galois extension of  $K = \text{Frac}(V)$ , with group  $G$ , then  $\text{Spec } L$  is an object of  $\mathcal{M}(K)$  which does not extend to a  $G$ -torsor over  $\text{Spec}(V)$ .

But over  $\text{Spec}(L)$  the torsor becomes trivial, hence extends.

Variant:



Then  $\mathcal{M}_b \rightarrow S$  is proper, and is an isomorphism over  $S - \{p\}$ , but is ramified at  $p$ .

The section  $S - \{p\} \rightarrow \mathcal{M}_b$  does not extend to  $S$  (but extends over  $S'$ ).

## Remarks.

- Condition (2) of the definition (the valuative criterion) is equivalent to  $\mathcal{M} \rightarrow S$  being universally closed, in an appropriate sense.
- This notion is hard to use directly. For instance, one would like to restrict to discrete valuation rings, and/or finite extensions  $K'/K$ .
- Fortunately, we now have:

Theorem (Gabber-Olsson) Let  $S = \text{Spec}(A)$  ( $A$  Noetherian).

Let  $\mathcal{M} \rightarrow S$  be a separated algebraic stack, of finite type over  $S$ . Then there exists a quasiprojective  $S$ -scheme  $X$  and an  $S$ -morphism

$$p: X \rightarrow \mathcal{M}$$

which is proper and surjective.

In particular,  $\mathcal{M}$  is proper over  $S$  iff  $X$  is.

## Remarks.

- If  $\mathcal{M}$  is a Noetherian Deligne-Mumford stack, there is a scheme  $X$  and a morphism  $p: X \rightarrow \mathcal{M}$  which is finite, surjective, generically étale (no base scheme needed) (Laumon-LMB)
- The theorem implies finiteness of coherent cohomology for proper morphisms of algebraic stacks (other proof by Faltings)

(54)

Over a field (under some additional assumptions on  $\mathcal{M}$ ) there is a  $p: X \rightarrow \mathcal{M}$  finite and flat.

There are other results in the same vein, asserting the existence of nice morphisms from schemes to a given stack.

Here is such a result, of a local nature (and much easier!):

Theorem let  $\mathcal{M}$  be an Artin (resp. Deligne-Mumford) stack,  $K$  a field,  $x \in \mathcal{M}(K)$ . Then there exists a 2-commutative diagram

$$\begin{array}{ccc} & & X \\ & \nearrow & \downarrow p \\ \text{Spec}(K) & \xrightarrow{x} & \mathcal{M} \end{array}$$

where  $X$  is a scheme and  $p$  is smooth (resp. étale).

What about morphisms from  $\mathcal{M}$  to algebraic spaces? (55)

Theorem (Keel-Mori, 1997)

- $S$ : a locally Noetherian scheme
- $\mathcal{M} \rightarrow S$ : an algebraic stack of finite type over  $S$ , such that

$$\Delta_{\mathcal{M}/S}: \mathcal{M} \rightarrow \mathcal{M} \times_S \mathcal{M} \text{ is finite}$$

(e.g.  $\mathcal{M}$  is a separated Deligne-Mumford  $S$ -stack of finite type).

Then  $\mathcal{M}$  has a coarse moduli space.

More precisely: there is an  $S$ -morphism

$$q: \mathcal{M} \rightarrow M$$

such that:

- ①  $M$  is a separated algebraic space of finite type /  $S$
- ② for each geometric point  $\xi$  of  $S$ , the natural map  
 $\{\text{isom. classes of } \mathcal{M}(\xi)\} \rightarrow M(\xi)$   
is bijective
- ③  $q$  is universal for  $S$ -morphisms from  $\mathcal{M}$  to algebraic spaces
- ④ for every flat  $M' \xrightarrow{\varphi} M$ , the pullback  
 $M' \times_M \mathcal{M} \rightarrow M'$  is still universal.