

# SOME GHOST LEMMAS

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## HOW GHOSTS EMERGE

Let  $\mathcal{A}$  be an additive category and let  $\mathcal{X} \subseteq \mathcal{A}$  be a full subcategory. It is an important problem if  $\mathcal{A}$  can be build from  $\mathcal{X}$  in some specific way. A first step in this problem is to study the induced restricted Yoneda functor

$$H_{\mathcal{X}} : \mathcal{C} \rightarrow \mathbf{Mod}\text{-}\mathcal{X}, \quad H_{\mathcal{X}}(A) = \mathcal{A}(-, A)|_{\mathcal{X}}$$

where  $\mathbf{Mod}\text{-}\mathcal{X}$  denotes the category of contravariant additive functors  $\mathcal{X}^{\text{op}} \rightarrow \mathcal{A}$ . The maps in  $\mathcal{A}$  invisible by  $H_{\mathcal{X}}$ , i.e. the maps  $f : A \rightarrow B$  in  $\mathcal{A}$  such that  $H_{\mathcal{X}}(f) = 0$ , i.e.  $\mathcal{A}(X, f) = 0, \forall X \in \mathcal{X}$ , are generally called  *$\mathcal{X}$ -phantom maps* and they form an ideal in  $\mathcal{A}$ . If  $\mathcal{X} = \{T\}$  consists of a single object  $T \in \mathcal{A}$ , then the  $T$ -phantom maps are called  *$T$ -ghost maps*. In this case the functor above takes the form  $H_T : \mathcal{A} \rightarrow \mathbf{Mod}\text{-}\mathbf{End}(T)$ ,  $H_T(A) = \mathcal{A}(T, A)$ . More generally one may consider  $H$ -phantom maps where  $H : \mathcal{A} \rightarrow \mathcal{B}$  is an additive functor, i.e. maps  $f$  such that  $H(f) = 0$ . The complexity of the ideal of  $\mathcal{X}$ -phantom or  $T$ -ghost maps in some sense measures the possibility to build  $\mathcal{A}$  from  $\mathcal{X}$  or  $T$ .

We denote by  $\mathbf{add}\ \mathcal{X}$ , resp.  $\mathbf{Add}\ \mathcal{X}$ , the full subcategory of  $\mathcal{A}$  consisting of the direct summands of finite, resp. infinite set-indexed, direct sums of objects from  $\mathcal{X}$ .

**Examples.** (i) Take  $\mathcal{A} = \mathbf{D}(\mathbf{Mod}\text{-}\Lambda)$  for an Artin algebra  $\Lambda$  and take  $\mathcal{X} = \mathbf{K}^b(\mathcal{P}_{\Lambda})$ , the homotopy category of bounded complexes of finitely generated projective modules. Then the ideal of  $\mathcal{X}$ -phantom maps is zero iff  $\mathbf{D}(\mathbf{Mod}\text{-}\Lambda) = \mathbf{Add}\ \mathbf{K}^b(\mathcal{P}_{\Lambda})$ , and this happens if and only if  $\Lambda$  is an iterated tilted algebra of Dynkin type.

(ii) Take  $\mathcal{A}$  to be the category  $\mathbf{Mod}\text{-}\Lambda$  over a ring  $\Lambda$  and  $\mathcal{X} = \mathbf{mod}\text{-}\Lambda$  to be the category of finitely presented modules. Then any  $\mathcal{X}$ -phantom map is zero and this corresponds to the fact that any module is a filtered colimit of finitely presented modules.

(iii) Take  $\mathcal{A} = \mathbf{mod}\text{-}\Lambda$  for an Artin algebra  $\Lambda$  and  $\mathcal{X} = \{T_1, T_2, \dots, T_m\}$  a finite set of modules. If the  $n$ th power of the ideal of  $\mathcal{X}$ -phantom maps is zero, then  $\mathbf{mod}\text{-}\Lambda$  consists of all modules admitting a finite filtration of length at most  $n$  with successive factors, modules which are factors copies of the  $T_i$ .

(iv) Take  $\mathcal{A} = \mathbf{D}(\mathbf{Mod}\text{-}\Lambda)$  for an Artin algebra and  $\mathcal{X} = \{\Sigma^n \Lambda \mid n \in \mathbb{Z}\}$  to be the set of all suspensions of  $\Lambda$  in the derived category. If the  $n$ th power of  $\mathcal{X}$ -ghost maps is zero, then any complex of  $\mathbf{D}(\mathbf{Mod}\text{-}\Lambda)$  is an  $n$ -fold extension of complexes of projective modules with zero differential, i.e. of complexes in  $\mathbf{Add}\{\Sigma^n \Lambda \mid n \in \mathbb{Z}\}$ .

(v) Take  $\mathcal{A}$  to be the stable homotopy category of spectra and  $\mathcal{X}$  the category of finite spectra. Then the  $\mathcal{X}$ -phantom ideal is square zero and any spectrum is an extension of coproducts of finite spectra.

## 1. A GHOST LEMMA FOR ABELIAN CATEGORIES

Let  $\mathcal{A}$  be an abelian category.

Let  $\mathcal{U}$  and  $\mathcal{V}$  be full additive subcategories of  $\mathcal{A}$  which are closed under isomorphisms and direct summands. In the sequel we use the following notations:

(i)  $\mathbf{Fac}(\mathcal{U})$  is the full subcategory of  $\mathcal{A}$  consisting of all factors of objects from  $\mathcal{U}$ .

(ii)  $\mathcal{U} \diamond \mathcal{V} = \mathbf{add}\{A \in \mathcal{A} \mid \exists \text{ an exact sequence : } U \twoheadrightarrow A \twoheadrightarrow V, \text{ where } U \in \mathcal{U} \text{ and } V \in \mathcal{V}\}$ .

Inductively we define  $\mathcal{U}_1 \diamond \mathcal{U}_2 \diamond \dots \diamond \mathcal{U}_n, \forall n \geq 1$ , for subcategories  $\mathcal{U}_i$  of  $\mathcal{A}$ .

For any  $\mathcal{U} \subseteq \mathcal{A}$ , we set:  $\langle \mathcal{U} \rangle_0 = 0, \langle \mathcal{U} \rangle_1 = \mathcal{U}$ , for  $n \geq 2$ :  $\langle \mathcal{U} \rangle_n := \mathcal{U} \diamond \mathcal{U} \diamond \dots \diamond \mathcal{U}$  ( $n$ -factors) and

$$\langle \mathcal{U} \rangle_{\infty} = \bigcup_{n \geq 0} \langle \mathcal{U} \rangle_n$$

**Remark 1.1.** (i) Clearly the operation  $\diamond$  is associative.

(ii) Let  $\mathcal{X}_i, 1 \leq i \leq n$ , be full subcategories of  $\mathcal{A}$ . Then clearly  $\mathcal{X}_1 \diamond \mathcal{X}_2 \diamond \dots \diamond \mathcal{X}_n$  coincides with the full subcategory  $\mathbf{Filt}(\mathcal{X}_1, \dots, \mathcal{X}_n)$  of  $\mathcal{A}$  consisting of direct summands of objects  $A$  which admit a filtration

$$0 = A_0 \subseteq A_1 \subseteq A_2 \subseteq \dots \subseteq A_{n-1} \subseteq A_n = A$$

such that  $A_k/A_{k-1} \in \mathcal{X}_k, 1 \leq k \leq n$ . Hence:  $\mathbf{Filt}(\mathcal{X}_1, \mathcal{X}_2, \dots, \mathcal{X}_n) = \mathcal{X}_1 \diamond \mathcal{X}_2 \diamond \dots \diamond \mathcal{X}_n$ .

**Definition 1.2.** Let  $\mathcal{X}$  be a full subcategory of  $\mathcal{A}$ . A map  $f : A \rightarrow B$  in  $\mathcal{A}$  is called  $\mathcal{X}$ -**phantom** if the induced map  $\mathcal{A}(X, f)$  is zero, i.e.  $\mathcal{A}(X, f) = 0, \forall X \in \mathcal{X}$ . If  $\mathcal{X}$  consists of a single object  $T: \mathcal{X} = \{T\}$ , then an  $\mathcal{X}$ -phantom map is called a  $T$ -**ghost**. The set of all  $\mathcal{X}$ -phantom maps  $A \rightarrow B$  is denoted by  $\text{Ph}_{\mathcal{X}}(A, B)$  and the set of  $T$ -ghost maps is denoted by  $\text{Gh}_T(A, B)$ .

Note that  $\text{Ph}_{\mathcal{X}}(A, B) = \bigcap_{T \in \mathcal{X}} \text{Gh}_T(A, B)$ .

An **ideal**  $\mathcal{J}$  of an additive category  $\mathcal{A}$  is an additive subfunctor of  $\mathcal{A}(-, -)$ . An ideal of  $\mathcal{A}$  can be described as a collection  $\mathcal{J}(A, B)$  of maps in  $\mathcal{A}$ ,  $\forall A, B \in \mathcal{A}$ , such that for any  $f, g : A \rightarrow B$  in  $\mathcal{J}$ , the map  $\alpha \circ (f + g) \circ \beta : X \rightarrow Y$  lies in  $\mathcal{J}$  for all maps  $\alpha : X \rightarrow A$  and  $\beta : B \rightarrow Y$  in  $\mathcal{A}$ . For  $n \geq 1$ , the  $n$ th-power  $\mathcal{J}^n$  of an ideal  $\mathcal{J}$  consists of the collection of all maps  $\mathcal{J}^n(A, B)$  in  $\mathcal{A}$  which can be written as a composition of  $n$  maps in  $\mathcal{J}$ . Clearly  $\mathcal{J}^n$  is an ideal of  $\mathcal{A}$ . An important example of an ideal in  $\mathcal{A}$  is the Jacobson radical  $\text{Rad}(\mathcal{A})$ : for any objects  $A, B \in \mathcal{A}$ , the subgroup  $\text{Rad}(A, B)$  of  $\mathcal{A}(A, B)$  consists of all maps  $f : A \rightarrow B$  such that  $1_A - f \circ g : A \rightarrow A$  is invertible, for any map  $g : B \rightarrow A$ .

Now let  $\mathcal{A}$  be abelian and  $T \in \mathcal{A}$ . Setting  $\text{Gh}_T(\mathcal{A}) = \bigcup_{A, B \in \mathcal{A}} \text{Gh}_T(A, B)$  we obtain an ideal of  $\mathcal{A}$ . Inductively,  $\forall n \geq 1$ , we obtain an ideal  $\text{Gh}_T^n(\mathcal{A})$  and in particular for any object  $A \in \mathcal{A}$  and any  $n \geq 1$ , we have the left ideal  $\text{Gh}_T^n(A, -)$  and the right ideal  $\text{Gh}_T^n(-, A)$ .

**Lemma 1.3 (Abelian Ghost Lemma).** *Let  $\mathcal{A}$  be an abelian category and  $T, X$  are objects of  $\mathcal{A}$ .*

- (i) *If  $X \in \langle \text{Fac } T \rangle_n$ , then  $\text{Gh}_T^n(X, -) = 0$ .*
- (ii) *If  $\text{add } T$  is contravariantly finite in  $\mathcal{A}$ , then the following are equivalent:*
  - (a)  $\text{Gh}_T^n(X, -) = 0$ .
  - (b)  $X \in \langle \text{Fac } T \rangle_n$ .

*Proof.* (i) The assertion is clear if  $X \in \langle \text{Fac } T \rangle$ . Assume that  $X \in \langle \text{Fac } T \rangle_2$  and let  $0 \rightarrow X_0 \xrightarrow{\alpha} X \xrightarrow{\beta} X_1 \rightarrow 0$  be exact, where the  $X_i$  lie in  $\text{Fac } T$ , i.e. there exists epics  $e_0 : T_0 \twoheadrightarrow X_0$  and  $e_1 : T_1 \twoheadrightarrow X_1$ , where the  $T_i$  lie in  $\text{add } T$ . Let  $f_0 : X \rightarrow A$  and  $\beta : A \rightarrow B$  be  $T$ -ghosts. Since the composition  $e_0 \circ \alpha \circ f_0 = 0$ , we have  $\alpha \circ f_0 = 0$  and therefore there exists a map  $\rho : T_1 \rightarrow A$  such that  $\beta \circ \rho = f_0$ . Then  $e_1 \circ f_0 \circ f_1 = e_1 \circ \beta \circ \rho \circ f_1$ . However  $e_1 \circ \rho \circ f_1 = 0$  since  $e_1 \circ \rho \circ f_1$  is  $T$ -ghost (because  $f_1$  is  $T$ -ghost) and  $T_1$  lies in  $\text{add } T$ . Hence  $\rho \circ f_1 = 0$  and therefore  $f_0 \circ f_1 = 0$ , i.e.  $\text{Gh}_T^2(X, -) = 0$ . Then the assertion follows by induction.

(ii) Assume now that  $\text{add } T$  is contravariantly finite. It suffices to show that (a) implies (b). If  $\text{Gh}_T(X, -) = 0$ , then let  $T_X \xrightarrow{f_X} X \xrightarrow{g} A \rightarrow 0$  be exact, where  $f_X$  is a right  $\text{add } T$ -approximation of  $X$  and  $g = \text{coker } f_X$ . Then clearly  $g$  is  $T$ -ghost, hence  $g = 0$  and therefore  $f_X$  is epic, i.e.  $X \in \text{Fac } T$ . Now let  $\text{Gh}_T^2(X, -) = 0$ , and let as above  $T_X \xrightarrow{f_X} X \xrightarrow{g} A \rightarrow 0$  be exact, where  $f_X$  is a right  $\text{add } T$ -approximation of  $X$  and  $g = \text{coker } f_X$ . Consider an exact sequence  $T_A \xrightarrow{f_A} A \xrightarrow{h} B \rightarrow 0$ , where  $f_A$  is a right  $\text{add } T$ -approximation of  $A$  and  $h = \text{coker } f_A$ . Then the composition  $g \circ h$  is  $T$ -ghost out of  $X$  and therefore  $g \circ h = 0$ . Since  $g$  is epic, we have  $h = 0$  and therefore  $f_A$  is epic, i.e.  $A \in \text{Fac } T$ . If  $C = \text{Im } f_X$ , then  $C \in \text{Fac } T$  and the short exact sequence  $0 \rightarrow C \rightarrow X \rightarrow A \rightarrow 0$  shows that  $X \in \langle \text{Fac } T \rangle_2$ . Assume now that  $\text{Gh}_T^3(X, -) = 0$ , and let as above  $T_X \xrightarrow{f_X} X \xrightarrow{g_0} A \rightarrow 0$  be exact, where  $f_X$  is a right  $\text{add } T$ -approximation of  $X$  and  $g_0 = \text{coker } f_X$ . Consider an exact sequence  $T_A \xrightarrow{f_A} A \xrightarrow{g_1} B \rightarrow 0$ , where  $f_A$  is a right  $\text{add } T$ -approximation of  $A$  and  $g_1 = \text{coker } f_A$ . Finally consider an exact sequence  $T_B \xrightarrow{f_B} B \xrightarrow{g_2} C \rightarrow 0$ , where  $f_B$  is a right  $\text{add } T$ -approximation of  $B$  and  $g_2 = \text{coker } f_B$ . Then the composition  $g_0 \circ g_1 \circ g_2$  is  $T$ -ghost out of  $X$  and therefore  $g_0 \circ g_1 \circ g_2 = 0$ . Since  $g_0 \circ g_1$  is epic, we have  $g_3 = 0$  and therefore  $f_B$  is epic, i.e.  $B \in \text{Fac } T$ . If  $D = \text{Im } f_A$ , then  $D \in \text{Fac } T$  and the short exact sequence  $0 \rightarrow D \rightarrow A \rightarrow B \rightarrow 0$  shows that  $A \in \langle \text{Fac } T \rangle_2$ . If  $C = \text{Im } f_X$ , then  $C \in \text{Fac } T$  and the short exact sequence  $0 \rightarrow C \rightarrow X \rightarrow A \rightarrow 0$  shows that  $X \in \langle \text{Fac } T \rangle \diamond \langle \text{Fac } T \rangle_2 = \langle \text{Fac } T \rangle_3$ . Continuing in this way by induction we have the assertion.  $\square$

**Remark 1.4.** If  $\mathcal{A}$  has all set-indexed coproducts, then we denote by  $\text{Add } T$  the full subcategory of  $\mathcal{A}$  consisting of all direct summands of set-indexed coproducts of copies of  $T$ . The category  $\text{Add } T$  is always contravariantly finite in  $\mathcal{A}$ . In this case we always have:

$$X \in \langle \text{Fac Add } T \rangle_n \text{ if and only if } \text{Gh}_T^n(X, -) = 0$$

The above observations suggests the following notion which possibly is of some use.

**Definition 1.5.** The (**extension**) **dimension**  $\dim \mathcal{A}$  of an abelian category  $\mathcal{A}$  is defined as follows:

$$\dim \mathcal{A} := \min\{n \geq 0 \mid \exists T \in \mathcal{A} : \mathcal{A} = \langle \text{add } T \rangle_{n+1}\}$$

**Example 1.6.** Let  $\Lambda$  be an Artin algebra. The Loewy length of  $\Lambda$  is denoted by  $\ell\ell\Lambda$ .

- (i)  $\Lambda$  is representation finite  $\Leftrightarrow \dim \text{mod-}\Lambda = 0$ .
- (ii)  $\dim \text{mod-}\Lambda \leq \ell\ell\Lambda - 1$ .

Indeed we have  $\text{mod-}\Lambda = \langle \Lambda/\mathfrak{r} \rangle_{\ell\ell\Lambda}$ .

**Corollary 1.7.** *Let  $\mathcal{A}$  be an abelian category and  $T$  an object of  $\mathcal{A}$ .*

- (i) *If  $\text{add } T$  is contravariantly finite in  $\mathcal{A}$ , then:  $\mathcal{A} = \langle \text{Fac } T \rangle_n$  if and only if  $\text{Gh}_T^n(A, -) = 0, \forall A \in \mathcal{A}$ .*
- (ii) *If there exist objects  $X, A$  in  $\mathcal{A}$  such that  $\text{Gh}_T^n(X, A) \neq 0$ , then  $X \notin \langle \text{Fac } T \rangle_n$ . In particular  $X \notin \langle T \rangle_n$ .*
- (iii) *If  $\dim \mathcal{A} = d$  and let  $T \in \mathcal{A}$  be such that  $\mathcal{A} = \langle T \rangle_{d+1}$ . Then  $\text{Gh}_T^{d+1}(\mathcal{A}) = 0$ .*

The Abelian Ghost Lemma 1.3 can be generalized as follows.

**Proposition 1.8.** *Let  $\mathcal{A}$  and  $\mathcal{B}$  be abelian categories.*

- (i) *Let*

$$H_1 \xrightarrow{\alpha_1} H_2 \xrightarrow{\alpha_2} H_3 \longrightarrow \cdots \longrightarrow H_{n-1} \xrightarrow{\alpha_{n-1}} H_n$$

*be a chain of natural maps between left exact contravariant functors  $H_i : \mathcal{A}^{\text{op}} \rightarrow \mathcal{B}$ .*

- (ii) *Let  $F_i : \mathcal{C}_i \rightarrow \mathcal{A}$  be covariant functors, where  $\mathcal{C}_i$  are additive categories,  $1 \leq i \leq n-1$ .*

*Assume that  $\alpha_i F_i = 0, \forall i$ , i.e.  $\alpha_i F_i(X_i) = 0, \forall i = 1, 2, \dots, n-1, \forall X_i \in \mathcal{C}_i$ .*

*Then the composition  $\alpha_1 \circ \alpha_2 \circ \cdots \circ \alpha_{n-1}$  vanishes on  $\text{Filt}(\text{Fac}(\text{Im } F_1), \text{Fac}(\text{Im } F_2), \dots, \text{Fac}(\text{Im } F_{n-1})) = \text{Fac}(\text{Im } F_1) \diamond \text{Fac}(\text{Im } F_2) \diamond \cdots \diamond \text{Fac}(\text{Im } F_{n-1})$ . In particular  $\alpha_1 \circ \alpha_2 \circ \cdots \circ \alpha_{n-1}$  vanishes on  $\text{Im } F_1 \diamond \text{Im } F_2 \diamond \cdots \diamond \text{Im } F_{n-1}$ .*

For instance in the above proposition we may choose  $\mathcal{B} = \mathcal{A}b$  and  $H_i = \mathcal{A}(-, A_i)$ , for some objects  $A_i \in \mathcal{A}$ , and also  $F_i : \mathcal{X}_i \hookrightarrow \mathcal{A}$  to be the inclusions of full subcategories  $\mathcal{X}_i$  of  $\mathcal{A}$ .

**Corollary 1.9.** *Let  $\mathcal{A}$  be an abelian category and let*

$$A_1 \xrightarrow{f_1} A_2 \xrightarrow{f_2} A_3 \longrightarrow \cdots \longrightarrow A_{n-1} \xrightarrow{f_{n-1}} A_n$$

*be a chain of maps between objects of  $\mathcal{A}$ . Let  $\mathcal{X}_i$  be full subcategories of  $\mathcal{A}$ ,  $i = 1, \dots, n-1$ , such that  $\mathcal{A}(\mathcal{X}_i, f_i) = 0, \forall i$ . If  $A \in \mathcal{A}$  is such that  $\mathcal{A}(A, f_1 \circ f_2 \circ \cdots \circ f_{n-1}) \neq 0$ , then  $A \notin \text{Filt}(\mathcal{X}_1, \mathcal{X}_2, \dots, \mathcal{X}_n)$ .*

*In particular let  $\mathcal{X}$  be a full subcategory of  $\mathcal{A}$  such that  $\mathcal{A}(\mathcal{X}, f_i) = 0, \forall i$ . If  $A \in \mathcal{A}$  is such that  $\mathcal{A}(A, \alpha_1 \circ \alpha_2 \circ \cdots \circ \alpha_{n-1}) \neq 0$ , then  $A \notin \langle \mathcal{X} \rangle_{n-1}$ .*

## 2. A GHOST LEMMA FOR TRIANGULATED CATEGORIES

Let  $\mathcal{T}$  be a triangulated category with suspension functor  $\Sigma$ .

For any collections  $\mathcal{U}$  and  $\mathcal{V}$  of objects of  $\mathcal{T}$ , we use the following notations:

- (i)  $\langle \mathcal{U} \rangle := \text{add} \{ \Sigma^n U \mid n \in \mathbb{Z}, U \in \mathcal{U} \}$ .
- (ii)  $\mathcal{U} \star \mathcal{V} := \text{add} \{ A \in \mathcal{T} \mid \exists \text{ triangle } : U \rightarrow A \rightarrow V \rightarrow \Sigma U, \text{ where } U \in \langle \mathcal{U} \rangle \text{ and } V \in \langle \mathcal{V} \rangle \}$ .
- (iii) Inductively we define  $\mathcal{U}_1 \star \mathcal{U}_2 \star \cdots \star \mathcal{U}_n, \forall n \geq 1$ , for subcategories  $\mathcal{U}_i$  of  $\mathcal{T}$ .
- (iv) For any  $\mathcal{U} \subseteq \mathcal{A}$ , we set:  $\langle \mathcal{U} \rangle_0 = 0, \langle \mathcal{U} \rangle_1 = \mathcal{U}$ , for  $n \geq 2$ :  $\langle \mathcal{U} \rangle_n := \mathcal{U} \diamond \mathcal{U} \diamond \cdots \diamond \mathcal{U}$  ( $n$ -factors) and

$$\langle \mathcal{U} \rangle_\infty = \bigcup_{n \geq 0} \langle \mathcal{U} \rangle_n$$

i.e.  $\langle \mathcal{U} \rangle_2 := \langle \langle \mathcal{U} \rangle \star \langle \mathcal{U} \rangle \rangle$  and  $\langle \mathcal{U} \rangle_n := \langle \langle \mathcal{U} \rangle_{n-1} \star \langle \mathcal{U} \rangle \rangle, \forall n \geq 3$ .

The objects of  $\langle \mathcal{U} \rangle_n$  are the objects of  $\mathcal{T}$  with  $\mathcal{U}$ -length at least  $n$ . Note that  $\langle \mathcal{U} \rangle_\infty$  coincides with the thick subcategory of  $\mathcal{T}$  generated by  $\mathcal{U}$ .

**Definition 2.1.** Let  $T \in \mathcal{T}$ . A map  $f : A \rightarrow B$  in  $\mathcal{T}$  is called  **$T$ -ghost** if the induced map

$$\text{Hom}_{\mathcal{T}}(T, \Sigma^n f) : \text{Hom}_{\mathcal{T}}(T, \Sigma^n A) \rightarrow \text{Hom}_{\mathcal{T}}(T, \Sigma^n B)$$

is zero,  $\forall n \in \mathbb{Z}$ .

We denote by  $\text{Gh}_T(A, B)$  the collection of all  $T$ -ghost maps between  $A$  and  $B$  and

$$\text{Gh}_T(\mathcal{T}) := \bigcup_{A, B \in \mathcal{T}} \text{Gh}_T(A, B)$$

Clearly  $\text{Gh}_T(\mathcal{T})$  is an ideal of  $\mathcal{T}$ , called the  **$T$ -ghost ideal** of  $\mathcal{T}$ . Therefore we may define:

- (i) For any object  $A \in \mathcal{T}$ , the left ideal  $\text{Gh}_T(A, -)$  which is the additive subfunctor

$$B \longmapsto \text{Gh}_T(A, -)(B) = \text{Gh}_T(A, B)$$

of  $\text{Hom}_{\mathcal{T}}(A, -)$ .

- (ii) The power  $\text{Gh}_T^n(A, -), \forall n \geq 1$ , which, for any object  $B \in \mathcal{T}$ , consists all maps  $A \rightarrow B$  which can be written as compositions of  $n$   $T$ -ghost maps.

From now on we fix an object  $T \in \mathcal{T}$ .

**Lemma 2.2 (Triangulated Ghost Lemma).** *Let  $\mathcal{T}$  be a triangulated category and let  $T, X$  be objects of  $\mathcal{T}$ .*

- (i) *If  $X \in \langle T \rangle_n$ , then  $\text{Gh}_T^n(X, -) = 0$ .*

- (ii) If  $\langle T \rangle$  is contravariantly finite in  $\mathcal{T}$ , then the following are equivalent:
- (a)  $X \in \langle T \rangle_n$ .
  - (b)  $\text{Gh}_T^n(X, -) = 0$ .

*Proof.* (i) We use induction on the  $T$ -length of  $X$ . If  $X \in \langle T \rangle$ , then clearly for any object  $A \in \mathcal{T}$  any  $T$ -ghost map  $X \rightarrow A$  is zero. Assume that  $X$  lies in  $\langle T \rangle_2$  and let

$$T_0 \xrightarrow{\alpha} X \xrightarrow{\beta} T_1 \xrightarrow{\gamma} \Sigma T_0$$

be a triangle in  $\mathcal{T}$  where the  $T_i$  lie in  $\langle T \rangle$ . Let  $f_1 : X \rightarrow A$  and  $f_2 : A \rightarrow B$  be  $T$ -ghost maps. Then the composition  $\alpha \circ f_1 : T_0 \rightarrow A$  is  $T$ -ghost and therefore  $\alpha \circ f_1 = 0$ . Hence there exists a map  $\rho : T_1 \rightarrow A$  such that  $f_1 = \beta \circ \rho$ . Then the composition  $f_1 \circ f_2 = \beta \circ \rho \circ f_2$  is  $T$ -ghost, since  $f_2$  is  $T$ -ghost, and therefore  $f_1 \circ f_2 = 0$ . This clearly implies that if  $X$  lies in  $\langle T \rangle_2$ , then  $\text{Gh}_T^n(X, -) = 0$ . Now the assertion follows directly by induction.

(ii) Assume now that  $\langle T \rangle$  is contravariantly finite in  $\mathcal{T}$ . It suffices to show that (b) implies (a). Let  $X \in \text{Gh}_T^n(X, -) = 0$ . If  $n = 1$ , the assertion is trivial. Assume that  $n = 2$ , i.e.  $\text{Gh}_T^2(X, -) = 0$ . Since  $\langle T \rangle$  is contravariantly finite in  $\mathcal{T}$ , there are triangles

$$\Omega_T^2 X \xrightarrow{g_1} T_1 \xrightarrow{f_1} \Omega_T X \xrightarrow{h_1} \Sigma \Omega_T^2 X \quad \text{and} \quad \Omega_T X \xrightarrow{g_0} T_0 \xrightarrow{f_0} X \xrightarrow{h_0} \Sigma \Omega_T X$$

Then the maps  $h_0$  and  $h_1$  are  $T$ -ghosts and then so is  $\Sigma h_1$ . It follows that the composition  $h_0 \circ \Sigma h_1 : X \rightarrow \Sigma^2 \Omega_T^2 X$  is zero. Consider the octahedral axiom for the composition  $0 = h_0 \circ \Sigma h_1$ . Then the cone  $A$  of  $0 = h_0 \circ \Sigma h_1$  is a direct sum of  $\Sigma^2 \Omega_T^2 X$  and  $\Sigma X$ , and there exists a triangle  $\Sigma T_0 \rightarrow A \rightarrow \Sigma^2 T_1 \rightarrow \Sigma^2 T_0$ . It follows that  $\Sigma X$ , and therefore the object  $X$ , is an extension of  $\Sigma T_0$  and  $\Sigma^2 T_1$ . Hence  $X$  lies in  $\langle T \rangle_2$ . Then the assertion follows by induction.  $\square$

**Example 2.3.** Let  $\Lambda$  be a ring. Typical examples of ghost maps in the derived category  $\mathbf{D}(\text{Mod-}\Lambda)$  arise from extensions of modules: elements of  $\text{Ext}^n(Y, X)$  give rise to maps in  $\text{Gh}_\Lambda^n(Y, \Sigma^n X)$ . Indeed Let if  $X \rightarrow A \rightarrow Y$  is an element of  $\text{Ext}_\Lambda^1(Y, X)$ . Then the map  $Y \rightarrow \Sigma X$  in the derived category is  $\Lambda$ -ghost. In fact we have  $\text{Ext}_\Lambda^1(Y, X) \cong \text{Gh}_\Lambda(Y, \Sigma X)$ . If  $X \rightarrow A \rightarrow B \rightarrow Y$  is an element of  $\text{Ext}_\Lambda^2(Y, X)$  and  $Z = \text{Im}(A \rightarrow B)$ , then in the derived category we have  $\Lambda$ -ghost maps  $Y \rightarrow \Sigma Z$  and  $Z \rightarrow \Sigma X$ . Hence we have a ghost map  $Y \rightarrow \Sigma^2 X = Y \rightarrow \Sigma Z \rightarrow \Sigma^2 X$  which lies in  $\text{Gh}_\Lambda^2(Y, \Sigma^2 X)$ .

**Example 2.4.** Let  $\mathcal{A}$  be an abelian category with enough projectives. For simplicity we assume that  $\mathcal{A}$  admits a projective generator  $P$ . Then for any object  $A \in \mathcal{A}$  the following are equivalent:

- (i)  $\text{Gh}_P^{n+1}(A, -) = 0$ .
- (ii)  $\text{pd } A \leq n$ .
- (iii)  $A \in \langle P \rangle_{n+1}$ .

In this example we denote the suspension in  $\mathbf{D}^b(\mathcal{A})$  be  $[1]$ . Let  $\cdots \rightarrow P^2 \rightarrow P^1 \rightarrow P^0 \rightarrow A \rightarrow 0$  be a projective resolution of  $A$ . It is build from extensions:

$$\Omega(A) \rightarrow P^0 \rightarrow A, \quad \Omega^2 A \rightarrow P^1 \rightarrow \Omega(A), \quad \Omega^3 A \rightarrow P^2 \rightarrow \Omega^2(A), \quad \cdots$$

The above extensions give rise to triangles in  $\mathbf{D}^b(\mathcal{A})$ :

$$\Omega(A) \rightarrow P^0 \rightarrow A \rightarrow \Omega(A)[1], \quad \Omega^2 A \rightarrow P^1 \rightarrow \Omega(A) \rightarrow \Omega^2(A)[1], \quad \Omega^3 A \rightarrow P^2 \rightarrow \Omega^2(A) \rightarrow \Omega^3(A)[1], \quad \cdots \quad (2.1)$$

Clearly the maps  $\Omega^n(A) \rightarrow \Omega^{n+1}(A)[1]$  are  $P$ -ghosts and therefore we have a sequence of  $P$ -ghost maps:

$$A \rightarrow \Omega(A)[1] \rightarrow \Omega^2(A)[2] \rightarrow \Omega^3(A)[3] \rightarrow \cdots$$

(i)  $\Rightarrow$  (ii) Assume that  $\text{Gh}_P^{n+1}(A, -) = 0$ . For  $n = 0$ , the assertion is trivial, since then the  $P$ -ghost map  $A \rightarrow \Omega(A)[1]$  is zero hence the triangle  $\Omega(A) \rightarrow P^0 \rightarrow A \rightarrow \Omega(A)[1]$  splits and therefore  $A$  is projective. If  $n = 1$ , then the composition  $A \rightarrow \Omega(A)[1] \rightarrow \Omega^2(A)[2]$  of  $P$ -ghost maps is zero. This implies, from the first triangle in (2.1), that the map  $\Omega(A)[1] \rightarrow \Omega^2(A)[2]$  factors through the map  $\Omega(A)[1] \rightarrow P^0[1]$  say via a map  $P^0[1] \rightarrow \Omega^2(A)[2]$ . However this map corresponds to an extension in  $\text{Ext}^1(P^0, \Omega^2(A)) = 0$ . Hence the map  $\Omega(A)[1] \rightarrow \Omega^2(A)[2]$ , or equivalently the map  $\Omega(A) \rightarrow \Omega^2(A)[1]$ , is zero. It follows that the second triangle in (2.1) splits and therefore  $\Omega(A)$  is projective as a direct summand of  $P^1$ , i.e.  $\text{pd } A \leq 1$ . Continuing in this way, we deduce that if  $\text{Gh}_P^{n+1}(A, -) = 0$ , then  $\text{pd } A \leq n$ .

(ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (i) Assume that  $\text{pd } A \leq n$ . If  $n = 0$ , then the assertion is clear. If  $n = 1$ , then the projective resolution  $P^1 \rightarrow P^0 \rightarrow A$  induces a triangle  $P^1 \rightarrow P^0 \rightarrow A \rightarrow P^1[1]$  in  $\mathbf{D}^b(\mathcal{A})$ . Hence  $A \in \langle P \rangle_2$ . By induction it follows that if  $\text{pd } A \leq n$ , then  $A \in \langle P \rangle_{n+1}$ . The implication (iii)  $\Rightarrow$  (i) follows from the Ghost Lemma.

It follows that for any  $A \in \mathcal{A}$ :

$$\text{pd } A = \min \{n \geq 0 \mid \text{Gh}_P^{n+1}(A, -) = 0\} = \min \{n \geq 0 \mid A \in \langle P \rangle_{n+1}\}$$

Note that the ghost ideal can be very large, even for familiar abelian categories. For instance since  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Q}, \mathbb{Z}) \cong \mathbb{R}$ , it follows that  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Q}, \mathbb{Z}) = \text{Gh}_{\mathbb{Z}}(\mathbb{Q}, \Sigma\mathbb{Z}) = \mathbb{R}$ . However in this case  $\text{Gh}_{\mathbb{Z}}^2(\mathbf{D}(\text{Mod-}\mathbb{Z})) = 0$ .

**Remark 2.5.** Let  $\mathcal{T}$  be a triangulated category with all (set-indexed) coproducts. Then for any object  $T$  in  $\mathcal{T}$ , the full subcategory  $\langle T \rangle^{\oplus} := \text{Add}\{\Sigma^n T \mid n \in \mathbb{Z}\}$  is contravariantly finite in  $\mathcal{T}$ .

In this case  $\langle T \rangle_n^{\oplus}$  consists of the direct summands of objects obtained by  $n$ -fold extensions of arbitrary direct sums of shifts of copies of  $T$ . The triangulated ghost lemma in this setting reads as follows:

**Infinite Triangulated Ghost Lemma:** Let  $T$  be a triangulated category with all set-indexed coproducts. Then for any object  $X \in \mathcal{T}$ :  $X \in \langle T \rangle_n^{\oplus} \Leftrightarrow \text{Gh}_T^n(X, -) = 0$ .

Moreover let  $\mathcal{X}_1, \mathcal{X}_2, \dots, \mathcal{X}_{n-1}$  be full subcategories of  $\mathcal{T}$ , each closed under shifts and consisting of compact objects. If  $A$  is a compact object, not lying in  $\mathcal{X}_1 * \mathcal{X}_2 * \dots * \mathcal{X}_{n-1}$ , then there exists a chain  $A \xrightarrow{\alpha_1} X_1 \rightarrow \dots \rightarrow X_{n-1} \xrightarrow{\alpha_n} X_n$  of maps between objects in  $\mathcal{T}$ , such that each  $\mathcal{T}(\mathcal{X}_i, \alpha_i) = 0$  and the composition  $\alpha_1 \circ \alpha_2 \circ \dots \circ \alpha_n \neq 0$ .

In particular if  $X$  and  $T$  are compact, then:  $X \in \langle T \rangle_n \Leftrightarrow \text{Gh}_T^n(X, -) = 0$ .

**Corollary 2.6.** Let  $T$  be an object of  $\mathcal{T}$  such that  $\langle T \rangle$  is contravariantly finite in  $\mathcal{T}$ . Then the following are equivalent:

- (i) There exists  $d \geq 0$ :  $\mathcal{T} = \langle T \rangle_{d+1}$  (and  $d$  is minimal with this property).
- (ii) There exists  $d \geq 0$ :  $\text{Gh}_T^{d+1}(\mathcal{T}) = 0$  (and  $d$  is minimal with this property).

**Definition 2.7.** The **dimension**  $\dim \mathcal{T}$  of  $\mathcal{T}$  is defined as follows:

$$\dim \mathcal{T} := \min \{n \geq 0 \mid \exists T \in \mathcal{T} : \langle T \rangle_{n+1} = \mathcal{T}\}$$

It follows that if  $\dim \mathcal{T} = d$  and  $T \in \mathcal{T}$  is such that  $\mathcal{T} = \langle T \rangle_n$ , then  $\text{Gh}_T^{d+1}(\mathcal{T}) = 0$ .

**Corollary 2.8.** Let  $T$  be an object of  $\mathcal{T}$  and let  $\text{Thick}(T)$  be the thick subcategory of  $\mathcal{T}$  generated by  $T$ . Then for any  $X \in \text{Thick}(T)$ , the left ideal  $\text{Gh}_T(X, -)$  is nilpotent. In particular if  $T$  is a classical generator of  $\mathcal{T}$ , then the left ideal  $\text{Gh}_T(X, -)$  is nilpotent for any object  $X \in \mathcal{T}$ .

Recall that an object  $T$  of  $\mathcal{T}$  is a *generator* of  $\mathcal{T}$  if  $\mathcal{T}(T, \Sigma^n A) = 0$  implies that  $A = 0$ . The object  $T$  is called a *classical generator* of  $\mathcal{T}$  if the thick subcategory generated by  $T$  coincides with  $\mathcal{T}$ , i.e.  $\mathcal{T} = \langle T \rangle_{\infty}$ .

**Remark 2.9.** Let  $T$  be an object of  $\mathcal{T}$ . It is easy to see that the following are equivalent:

- (i)  $T$  is a *generator* of  $\mathcal{T}$ .
- (ii) The ideal of  $T$ -ghost maps is contained in the Jacobson radical  $\text{Rad}(\mathcal{T})$  of  $\mathcal{T}$ .

Let  $T$  be a generator of  $\mathcal{T}$  and assume that  $\langle T \rangle$  is contravariantly finite in  $\mathcal{T}$ . If any object of  $\mathcal{T}$  has semiprimary endomorphism ring, then by using the ghost Lemma it is easy to see that  $T$  is a classical generator.

**Example 2.10.** (The original Ghost Lemma, see [J.L. KELLY: *Chain maps inducing zero homology maps*, Proc. Camb. Phil. Soc. **61** (1965), 847–854.])

Let  $\Lambda$  be a ring and let  $T = \Lambda$  considered, as a complex concentrated in degree zero, in the homotopy category  $\mathbf{K}(\text{Mod-}\Lambda)$ . Then the ideal of  $\Lambda$ -ghosts are the maps of complexes  $f^{\bullet} : A^{\bullet} \rightarrow B^{\bullet}$  such that its cohomology  $H^n(f^{\bullet}) : H^n(A^{\bullet}) \rightarrow H^n(B^{\bullet})$  is the zero map,  $\forall n \in \mathbb{Z}$ . Kelly's original result says that if  $X^{\bullet}$  is a complex of projectives such that for each  $k \in \mathbb{Z}$ , the modules  $B^k(X^{\bullet})$  and  $H^k(X^{\bullet})$  have projective dimension less than  $n$ . Then any composition  $X^{\bullet} \rightarrow A_1^{\bullet} \rightarrow \dots \rightarrow A_n^{\bullet}$  of maps in  $\mathbf{K}(\text{Mod-}\Lambda)$ , each inducing the zero map in cohomology, is zero. This follows from the fact that such a complex  $A^{\bullet}$  is, in the homotopy category, an  $n$ -fold extension of the category of complexes of projectives with zero differential. Of course the last category equals  $\langle \Lambda \rangle^{\oplus} = \langle \text{Add } \Lambda \rangle \subseteq \mathbf{K}(\text{Mod-}\Lambda)$ . Then apply the Infinite Triangulated Ghost Lemma above. On the other hand, by Corollary 2.6, for any perfect complex  $A^{\bullet} \in \mathbf{K}^b(\mathcal{P}_{\Lambda})$ , i.e. a bounded complex with finitely generated projective components, the ideal  $\text{Gh}_{\Lambda}(A^{\bullet}, -)$  is nilpotent. The above trivially hold true for any abelian category with enough projectives. This is the case originally considered by Kelly.

**Example 2.11.** Let  $\mathcal{A}$  be an abelian category with exact coproducts and enough projectives. Let  $P$  be a projective generator of  $\mathcal{A}$ . For any complex  $X^{\bullet} \in \mathbf{D}(\mathcal{A})$ , define its  *$P$ -ghost dimension*  $\text{gh.dim}_P X^{\bullet}$ , resp.  *$P$ -extension dimension*  $\text{ext.dim}_P X^{\bullet}$ , to be the nilpotency index of the left ideal of  $P$ -ghost maps out of  $X^{\bullet}$  (or  $\infty$  if the ideal is not nilpotent), resp. the minimum  $n \geq 0$  such that  $X^{\bullet}$  lies in  $\langle P \rangle_{n+1}^{\oplus}$  ((or  $\infty$ ) if no such  $n$  exists). Then for the associated  $P$ -ghost dimension  $\text{gh.dim}_P \mathcal{A}$  and  $P$ -extension dimension  $\text{ext.dim}_P \mathcal{A}$  of  $\mathcal{A}$  we have:  $\text{gl.dim } \mathcal{A} = \text{gh.dim}_P \mathcal{A} = \text{ext.dim}_P \mathcal{A}$ .

**2.1. The Abelian Ghost Lemma implies the Triangulated Ghost Lemma.** Let  $\mathcal{A}$  be an abelian category and let  $\mathcal{U}$  be a full subcategory, closed under isomorphisms and direct summands.

We denote by  $\hat{\mathcal{U}} = \text{Sub Fac}(\mathcal{U})$  the full subcategory of subquotients of  $\mathcal{U}$ . Note that  $\hat{\mathcal{U}} = \text{Sub Fac}(\mathcal{U}) = \text{Fac Sub}(\mathcal{U})$ , and  $\hat{\mathcal{U}}$  is an exact abelian subcategory of  $\mathcal{A}$ .

The following is a triangulated analogue of Proposition 1.8.

**Proposition 2.12.** *Let  $\mathcal{T}$  be a triangulated category and let*

(i)

$$H_1 \xrightarrow{\alpha_1} H_2 \xrightarrow{\alpha_2} H_3 \longrightarrow \cdots \longrightarrow H_{n-1} \xrightarrow{\alpha_{n-1}} H_n \quad (*)$$

*be a chain of natural maps between cohomological functors  $H_i : \mathcal{T}^{\text{op}} \rightarrow \mathcal{B}$ , where  $\mathcal{B}$  is abelian.*

(ii)  $F_1, F_2, \dots, F_{n-1} : \mathcal{C} \rightarrow \mathcal{T}$  be covariant functors, where  $\mathcal{C}$  is any additive category.

*Assume that  $\alpha_i F_i = 0$ ,  $\forall i$ . If  $\mathcal{X}_i$  denotes the closure of each full subcategory  $\text{Im } F_i$  under the suspension functor, then the composition  $\alpha_1 \circ \alpha_2 \circ \cdots \circ \alpha_{n-1}$  vanishes on  $\mathcal{X}_1 * \mathcal{X}_2 * \cdots * \mathcal{X}_{n-1}$ .*

*Proof.* (sketch) Let  $\mathcal{A}(\mathcal{T})$  be the category of coherent functors  $\mathcal{T}^{\text{op}} \rightarrow \mathcal{A}b$ . It is well-known that  $\mathcal{A}(\mathcal{T})$  is a Frobenius abelian category and the Yoneda embedding  $Y : \mathcal{T} \hookrightarrow \mathcal{A}(\mathcal{T})$ ,  $A \rightarrow \mathcal{T}(-, A)$  is a homomological functor which is universal in the following sense: any cohomological functor  $H : \mathcal{T} \rightarrow \mathcal{B}$  to an abelian category  $\mathcal{B}$  admits a unique exact extension  $H^* : \mathcal{A}(\mathcal{T}) \rightarrow \mathcal{B}$  such that  $H^* \circ Y = H$ . It follows that the chain of cohomological functors (\*) induces a chain of exact functors  $\mathcal{A}(\mathcal{T})^{\text{op}} \rightarrow \mathcal{B}$ :

$$H_1^* \xrightarrow{\alpha_1^*} H_2^* \xrightarrow{\alpha_2^*} H_3^* \longrightarrow \cdots \longrightarrow H_{n-1}^* \xrightarrow{\alpha_{n-1}^*} H_n^* \quad (**)$$

Then the assertion follows from the Abelian Ghost Lemma stated in Proposition 1.8 and the following three observations:

- 1:** The composition  $\alpha_1^* \circ \alpha_2^* \circ \cdots \circ \alpha_{n-1}^*$  vanishes on  $\widehat{Y(\mathcal{X}_1)} \diamond \widehat{Y(\mathcal{X}_2)} \diamond \cdots \diamond \widehat{Y(\mathcal{X}_{n-1})}$ .
- 2:**  $Y(\mathcal{X}_1 * \mathcal{X}_2 * \cdots * \mathcal{X}_{n-1}) \subseteq \widehat{Y(\mathcal{X}_1)} \diamond \widehat{Y(\mathcal{X}_2)} \diamond \cdots \diamond \widehat{Y(\mathcal{X}_{n-1})}$ .
- 3:**  $(\alpha_1^* \circ \alpha_2^* \circ \cdots \circ \alpha_{n-1}^*)|_{Y(\mathcal{X}_1 * \mathcal{X}_2 * \cdots * \mathcal{X}_{n-1})} = (\alpha_1 \circ \alpha_2 \circ \cdots \circ \alpha_{n-1})|_{\mathcal{X}_1 * \mathcal{X}_2 * \cdots * \mathcal{X}_{n-1}}$ . □

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